

CAI: Cerca i Anàlisi d'Informació  
Grau en Ciència i Enginyeria de Dades, UPC

## 9. Public-key cryptography

December 20, 2019

Slides by Marta Arias, José Luis Balcázar, Ramon Ferrer-i-Cancho, Ricard Gavaldà, Department of Computer Science, UPC

# Contents

## 9. Public-key cryptography

- Private-key encryption

- Public-key encryption

- Diffie-Hellman key exchange protocol

- RSA

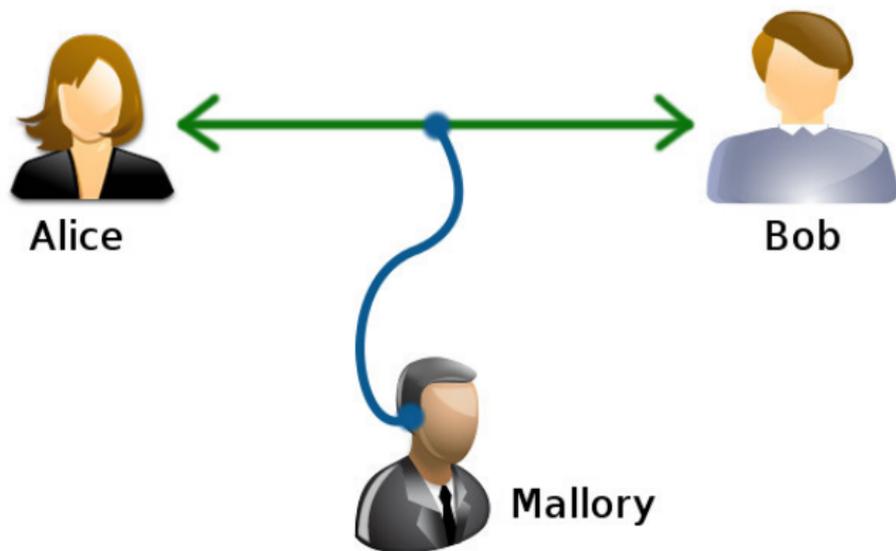
- Digital Signature

- TLS protocol for secure connection

- Quantum

## The problem

Alice and Bob want to exchange messages privately.  
But malicious Eve may be listening (insecure channel)



# Private-key encryption

Solution: Encryption and decryption functions  $E$  and  $D$ .  
 $E$  and  $D$  fixed and possibly known to everybody.

- ▶ Alice and Bob agree on a secret key  $k$
- ▶ Alice sends a message  $m$  to Bob by computing  $E(m, k)$
- ▶ Bob decodes the message by computing  $D(m, k)$

# Private-key encryption

## Assumptions:

- ▶  $D(E(m, k), k) = m$  for all  $m$
- ▶ Computing  $E(m, k)$  and  $D(m, k)$  is easy
- ▶ Computing  $x$  from  $E(x, k)$  is impossible (or very hard) without knowing  $k$ .

We often we have  $E(D(x, k), k) = x$  too.

Then we speak of *symmetric key encryption*.

# Private-key encryption

Example: Choose  $k \in \{0..25\}$

$$E(x, k) = (x + k) \bmod 26, \quad D(x, k) = (x - k) \bmod 26.$$

Example: If messages have  $n$  bits, then  $k$  is a random  $n$ -bit string and use exclusive-or:

$$E(x, k) = D(x, k) = x \oplus k.$$

If  $k$  is used repeatedly and messages are in English, these schemes is easy to attack using statistical properties of English text.

# Private-key encryption, better schemes

- ▶ DES (56 bit keys) and 3DES (168 bits):
  - ▶ 16 iterations of substitutions + shiftings (3x for 3DES)
  - ▶ 70's-90's. Not considered safe against Big Brother any more
  - ▶ Still useful for weak attackers, and used in some chip cards.
  
- ▶ AES (Advanced Encryption Standard)
  - ▶ US standard from 2001
  - ▶ 128 - 256 bit keys

# Private-key encryption, problems

Three problems:

1. Alice and Bob must keep  $k$  secret.
2. Alice and Bob must physically meet to agree on  $k$ , or use a secure channel, or use a trusted third party.
3. If Alice wants to talk to many people, a distinct  $k$  must be agreed for each.

Public-key cryptography solves 2 and 3.

# What is a public-key encryption scheme?

- ▶ A way of generating “random” pairs of keys  $(e, d)$
- ▶ An encryption function  $E(x, e)$
- ▶ A decryption function  $D(y, d)$

with the properties:

- ▶ Generating pairs and computing  $E$  and  $D$  must be feasible (poly-time)
- ▶  $D(E(x, e), d) = x$
- ▶ Obtaining  $x$  from  $E(x, e)$  **knowing  $e$  but not  $d$**  must be unfeasible (non poly-time)

$E$  and  $D$  fixed and possibly known to everybody.

Encrypting and decrypting keys are different  $\rightarrow$  “asymmetric encryption scheme”.

# Why is this wonderful?

Alice wants *anybody* to be able to send her private messages:

- ▶ Alice generates her pair  $(e, d)$
- ▶ Keeps  $d$  private, but publishes  $e$  *to the world*
- ▶ Bob sends her message  $m$  by using Alice's public key  $e$ :  
sends her  $y = E(m, e)$
- ▶ Alice retrieves  $m$  by computing  $D(y, d)$
- ▶ Mallory sees  $y$  and  $e$ , but can't obtain  $m$

# Glitch

Mallory can:

- ▶ Generate  $(e, d)$
- ▶ Tell the world “I am Alice and my public key is  $e$ ”
- ▶ Unsuspecting Bob sends Alice a private message  $E(m, e)$ , that Mallory hears.
- ▶ Mallory can decode  $m$  using  $d$ !

This is why **Certification Authorities** exist: Parties trusted by everybody (conventionally) that certify that “Alice” is truly Alice, and where Alice’s  $e$  can be obtained.

## But, do such wonderful schemes exist?

Not if  $P=NP$ . Proof sketch:

We are Mallory and know  $e$  but not  $d$ . We want to decrypt.

- ▶ Get  $y$  (by listening in the insecure channel)
- ▶ Guess  $d$
- ▶ Compute  $x = D(y, d)$
- ▶ Verify that  $E(x, e) = y$
- ▶ So  $x$  is “the right” one

So specific public key schemes must rely on some computational problem assumed to be in NP but not in P.

# Diffie-Hellman key exchange protocol

- ▶ Alice and Bob (publicly) agree on a prime  $p$  and a generator  $g$  of  $Z_p^*$
- ▶ Alice secretly chooses  $x$ , then (insecurely) sends  $g^x \bmod p$  to Bob
- ▶ Bob secretly chooses  $y$ , then (insecurely) sends  $g^y \bmod p$  to Alice
- ▶ Alice privately computes  $k = (g^x)^y \bmod p = g^{xy} \bmod p$
- ▶ Bob privately computes  $k = (g^y)^x \bmod p = g^{xy} \bmod p$
- ▶ Alice and Bob now use  $k$  as a private key to communicate

## Diffie-Hellman exchange: Example

- ▶ Alice and Bob agree, in the clear, to use  $p = 17$  and  $g = 3$
- ▶ Alice secretly and randomly chooses  $x = 15$
- ▶ Alice computes  $3^{15} \bmod 17 = 6$  and sends it to Bob
- ▶ Bob secretly and randomly chooses  $y = 13$
- ▶ Bob computes  $3^{13} \bmod 17 = 12$  and sends it to Alice
- ▶ Alice computes  $12^{15} \bmod 17 = 10$
- ▶ Bob computes  $6^{13} \bmod 17 = 10$
- ▶ Now Alice and Bob have agreed to use secret key 10

Exercise: Check these computations.

Note: Of course in practice one does not use 17, but a prime with  $> 1000$  digits.

## Key step: Modular exponentiation

$$g^x \bmod p = \begin{cases} (g^2 \bmod p)^{x/2} \bmod p & \text{if } x \text{ even} \\ (g \cdot (g^{x-1} \bmod p)) \bmod p & \text{if } x \text{ odd} \end{cases}$$

$O(N)$  multiplications of  $O(N)$ -bit numbers if  $p$  has  $N$  bits.

# Discrete Logarithm

Modular exponentiation: given  $g, p, x$ , compute fast

$$s = g^x \bmod p$$

Discrete logarithm: given  $g, p, s$ , find  $x$  such that  $s = g^x \bmod p$

$g^x \bmod p$  for  $p = 19, g = 14, x = 0..18$ :

[1, 14, 6, 8, 17, 10, 7, 3, 4, 18, 5, 13, 11, 2, 9, 12, 16, 15, 1]

Brute force is  $O(p) = O(2^N)$  time, or precomputed huge table.

# Diffie-Hellman exchange: Assumptions

**Discrete Logarithm assumption:** The following is hard:

Given  $p$ ,  $g$  and  $s$ , find  $x$  such that  $g^x = s \pmod p$

In the previous example, computing  $x$  from 6, or  $y$  from 12, faster than brute force.

Clearly, if we can do this, we can break Diffie-Hellman.

# Diffie-Hellman exchange: Assumptions

**Diffie-Hellman assumption:** The following is hard:

Given  $p$ ,  $g$ ,  $s$  and  $t$ , find  $u$  such that if  $s = g^x \pmod p$  and  $t = g^y \pmod p$ , then  $t = g^{xy} \pmod p$

In the previous example, computing 10 having seen 6 and 12, faster than brute force.

One way is to first get  $x$  from 6 and  $y$  from 12, i.e., solve the Discrete Logarithm problem. But perhaps not the only way.

Diffie-Hellman reduces to Discrete Logarithm, but no reduction the other way is known.

# RSA - Rivest, Shamir, Adleman (1977)

Key generation:

- ▶ Choose two large primes  $p$  and  $q$  (about  $N/2$  bits each)
- ▶ Compute  $n = p \cdot q$  (so  $n$  has about  $N$  bits)
- ▶ Choose  $e$  such that  $\gcd(e, (p - 1)(q - 1)) = 1$
- ▶ The public key is the pair  $(n, e)$
- ▶ Let  $d$  be the multiplicative inverse of  $e$ ,  $\text{mod}(p - 1)(q - 1)$

$$d \cdot e = 1 \pmod{(p - 1)(q - 1)}$$

- ▶ The private key is the pair  $(n, d)$ ; keep  $p$  and  $q$  secret too

# RSA - Rivest, Shamir, Adleman (1977)

Encrypting function  $E(x, (n, e)) = x^e \bmod n$

Decrypting function  $D(y, (n, d)) = y^d \bmod n$

# Ingredients

How to find primes  $p, q$

How to find and verify  $e$

omitted; in practice, fixed, well studied  $e$ 's used)

How to find  $d$  from  $e, p, q$

Why is  $D(E(x, (n, e)), (n, d)) = x$

## Finding and verifying primes

Prime number theorem: The number of primes less than  $n$  is

$$\frac{n}{\log n} (1 + o(1))$$

So to look for a prime of about  $N$  digits:

- ▶ Picking one at random.
- ▶ With probability about  $1/N$ , it is a prime.
- ▶ Check for primality.
- ▶ If not prime, try again. You will find one soon.

## Finding and verifying primes

There is a probabilistic poly-time algorithm  $A$  that, for each  $n$ ,

- ▶ If  $n$  is prime,  $A(n)$  says “prime” with probability  $1 - \exp(-N)$ , “?” otherwise.
- ▶ If  $n$  is composite,  $A(n)$  says “composite” with probability  $1 - \exp(-N)$ , “?” otherwise.

where  $N$  is the number of digits of  $n$ .

Serious number theory involved.

## How to find secret key $d$

We know  $e, p, q$ , let  $\phi = (p - 1)(q - 1)$ . We know  $\gcd(e, \phi) = 1$ .

Want to find  $d$  such that  $e \cdot d \bmod \phi = 1$ .

i.e. such that there is  $k$  such that

$$d \cdot e + k \cdot \phi = 1$$

Can be done with Extended Euclidean algorithm

**NOTE:** We can do this *because* we know  $\phi$ .

If we only know  $n = pq$ , but not  $p, q$  (or at least  $\phi$ ), we cannot do this - that would be breaking RSA!

# Extended Euclidean Algorithm

Regular Euclidean algorithm: Given  $x, y$ , find  $r = \gcd(x, y)$ .

Extended Euclidean algorithm: find also  $\alpha, \beta$  such that

$$\alpha x + \beta y = r$$

(This is called Bézout's identity)

# Extended Euclidean Algorithm

Idea for Euclid's algorithm: If  $x > y$ , apply repeatedly

$$\gcd(x, y) = \gcd(y, x \bmod y)$$

Suppose inductively that call to  $\gcd(y, x \bmod y)$  also returns  $\alpha$ ,  $\beta$  such that:

$$\alpha y + \beta(x \bmod y) = r$$

Then

$$\begin{aligned} r &= \alpha y + \beta(x \bmod y) \\ &= \alpha y + \beta(x - (x \operatorname{div} y)y) \\ &= \beta x + (\alpha - (x \operatorname{div} y))y \end{aligned}$$

Program this iteratively (“forward”) rather than recursively (“backwards”), if desired.

## Why do we decrypt well?

- ▶ If  $p$  and  $q$  primes, and
- ▶  $E(x, (n, e)) = x^e \bmod n$ , and
- ▶  $D(y, (n, d)) = y^d \bmod n$ , and
- ▶  $ed = 1 \bmod (p - 1)(q - 1)$ , then
- ▶  $E$  and  $D$  inverse permutations.

This uses Euler and Fermat's theorems, plus Chinese remainder theorem, plus the fact that Euler's totient function  $\phi(n)$  = number of integers  $< n$  that are coprime with  $n$ , plus  $\phi(p) = p - 1$ ,  $\phi(pq) = \phi(p - 1)\phi(q - 1)$ .

Explained in many sources out there.

# Is RSA secure?

Primality: Given an integer  $n$ , is  $n$  prime?

Trivial algorithm takes  $O(\sqrt{n})$  time =  $O(2^{N/2})$

Poly-time probabilistic algorithms since the 70's.

Proven to be in P in 2002.  $O(N^{12})$ . Exponent lowered since then.

# Is RSA secure?

**Factoring:** Given  $n$ , get the prime decomposition of  $n$ .

In binary form, given  $n$ ,  $m$ , does  $n$  have a factor less than  $m$ ?

No polynomial algorithm is known. Pretty hard in practice for largish  $n$

Think: Thousands of years even for Big Brother for  $n$  2000 digits of so

But not expected to be NP-complete either, for at least two reasons:

- ▶ subexponential algorithms are known
- ▶ its complement is also in NP (guess true prime decomposition)

## Is RSA secure?

The RSA problem: given  $n = pq$  and  $e$  such that  $\gcd(e, (p-1)(q-1)) = 1$  and  $c$ , find  $m$  such that  $me = c \pmod{n}$ . I.e., recover  $m$  from ciphertext  $c$  and public key  $(n, e)$  by taking  $e$ -th root of  $c$

There is no known efficient algorithm for doing this.

If factoring is easy, then RSA is easy (RSA reduces to factoring):

- ▶ If an adversary can factor  $n$ , then it can compute  $p, q$ , then the private key  $(n, d)$  from the public key  $(n, e)$  in the same way that Alice did.

But no reduction from factoring to RSA is known:

- ▶ Breaking RSA does not require obtaining  $d$ , which would enable not just decyphering one message but the key to decypher all message.
- ▶ RSA could be breakable without factoring.

# Comparisons

Pros of private key encryption:

- ▶ Encoding  $N$  bits with private key much faster than DH or RSA.
- ▶ Paritcularly, generating RSA key pairs quite slow.
- ▶ No complexity assumptions.

# Comparisons

## Pros of DH

- ▶  $p$  and  $g$  precomputed, public and verified (“strong  $p$ ”)
- ▶ Key generation much faster (compute  $g^x \bmod p$ )
- ▶ Perfect Forward Secrecy:
  - ▶ Once a session terminates, throw away the secret parts ( $x$ ,  $y$ ,  $g^{xy}$ )
  - ▶ If someone enters your system later, can’t decrypt previous conversations.
  - ▶ In plain RSA exchanges, if someone enters your system and steals your private key, it can decrypt all your previous conversations.
  - ▶ (but solution: Alice creates random key, RSA-encrypts it with Bob’s public key, sends to Bob).
  - ▶ (Distinction short-term (session) key, long-term key.)

# Comparisons

## Pros of RSA

- ▶ Alice and Bob can **verify** each other: Know who they are talking to.
- ▶ Mallory can pretend to be Alice or Bob during the DH exchange.
- ▶ “Man in the middle” attack
- ▶ Allows for **Digital Signature**: I can sign message  $m$  and
  - ▶ Everybody is sure it's me who signed it
  - ▶ I can't deny I signed it

# Digital Signature

(Not specific to RSA. Works for any public-key scheme such that not only  $D(E) = id$  but  $E(D) = id$ .)

- ▶ I want to sign message  $m$  undeniably. My keys are  $e, d$ .
- ▶ I publish  $(m, D(m, d))$ .
- ▶ People see  $(m, \sigma)$ .
- ▶ They check (with my public  $e$ ) that  $E(\sigma, e) = m$ .

Only I could create this from  $m$

# Digital Signature, anti-fakes

Glitch: People can generate fakes:

- ▶ Generate  $\sigma$  at random
- ▶ Publish  $(E(\sigma, e), \sigma)$  in my name

(Not a big problem for signing PDF's; the fake will be garbage. But problematic in other uses).

Trick: Use a hash function  $h$  for which finding preimages is hard. Encoding:

- ▶ I publish  $(m, D(h(m), d))$ .
- ▶ People see  $(m, \sigma)$ .
- ▶ They check (with my public  $e$ ) that  $E(\sigma, e) = h(m)$ .

It seems hard to create a fake  $(x, \sigma)$  in this scheme.

# TLS (and predecessor SSL)

Alice and Bob want to use keys of  $N$  bits

They want to exchange a message  $m = m_1m_2 \dots m_\ell$  where the  $len(m_i) = N$

Option 1: Use public-key encoding on each  $m_i$ , so  $\ell$  times complex calculations

Option 2, much faster:

1. Exchange ONCE a secret key  $k$  via DH (or RSA if you want to verify party's identity).
2. This is the *session key*, one per session.
3. Use private-key protocol ( $E, D$ ) to send each  $E(m_i, k)$  in the session.

(TLS protocol is this and much more engineering stuff)

# Quantum

- ▶ Shor's algorithm (1994) solves the Discrete Logarithm and Factorization in quadratic time in an Ideal Quantum Computer.
- ▶ So DH and RSA can be broken by quantum computers.
- ▶ Intense work on Post-Quantum public-key cryptography scheme.
- ▶ They seem to exist. But less convenient (longer keys, less tested assumptions. . .).

**Remember:** Even if we succeed in **building** Ideal Quantum Computers, there is no theoretical evidence that they can solve NP-complete problems in polynomial time.

No reason to believe that  $NP \subseteq \text{"Quantum P"}$  (QBP, technically)