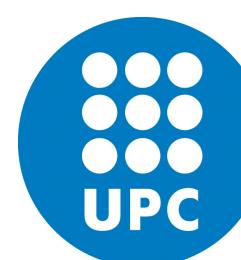


# Mapping Unseen Words to Task-Trained Embedding Spaces



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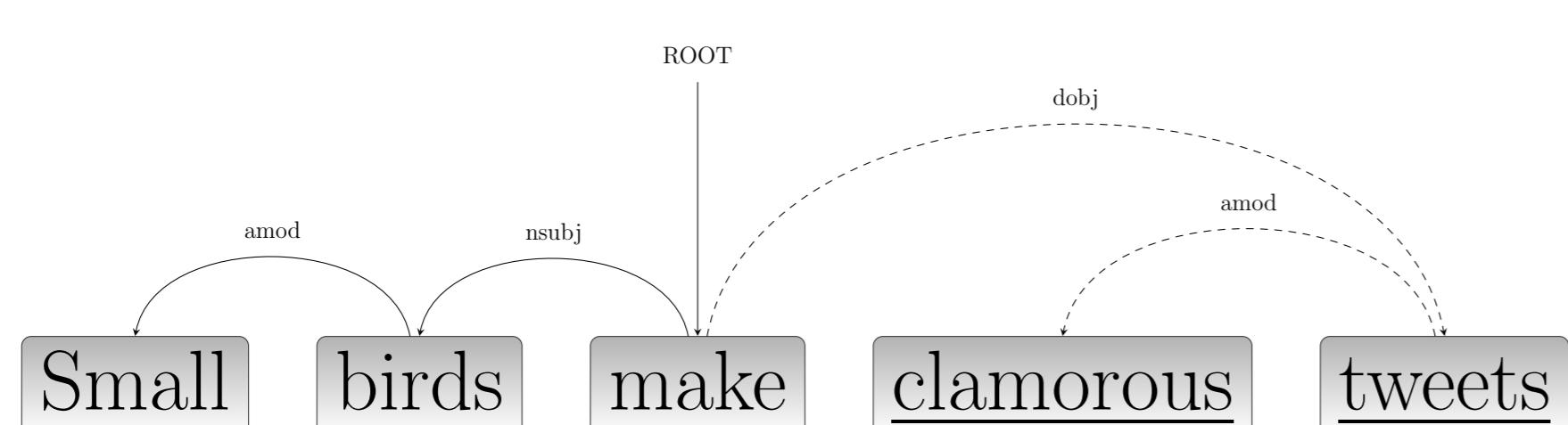
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## Motivation



- Setting: Train a Supervised Neural Network Based Parser
- Initialize with pre-trained word embeddings
- Fine-tune the word embeddings while training the parser
- Problem: At test-time, unseen words are not in task-trained training embedding space
- Typical solution: use pre-trained embeddings or a single unknown word vector. Neither is ideal!

## Key Idea

### Mapping Initial Word Embeddings of Unseen Test-Time Words to Task-Trained Embedding Space

- Neural network mapper with a *weighted multiple-loss criterion*.
- Tune mapper's hyperparameters to optimize performance on each domain.
- Significant improvements in dependency parsing across several domains and downstream task of dependency-based sentiment analysis

## Training Pipeline

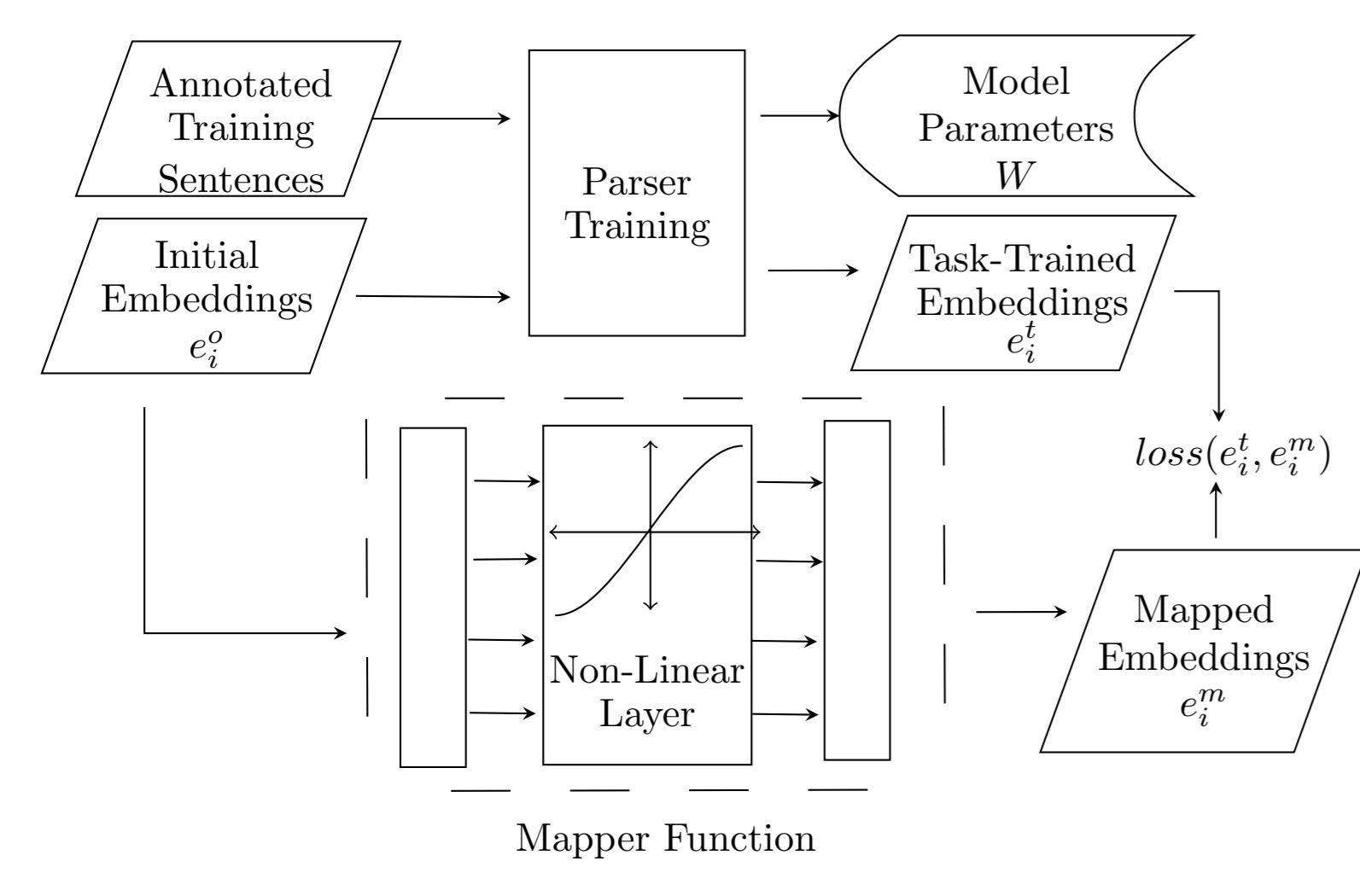


Figure: Mapper Training

— Basic Mapper Framework:

$$e_i^m = W_2(\text{hard tanh}(W_1 e_i^o + b_1)) + b_2$$

— Weighted, multi-loss regression:

$$\text{loss}(y, \hat{y}) = \alpha \sum_{j=1}^n |y_j - \hat{y}_j| + (1 - \alpha) \sum_{j=1}^n |y_j - \hat{y}_j|^2$$

— Elastic-net Regularization:

$$\lambda_1 \|\theta\|_1 + \frac{\lambda_2}{2} \|\theta\|_2^2$$

## Parsing Pipeline

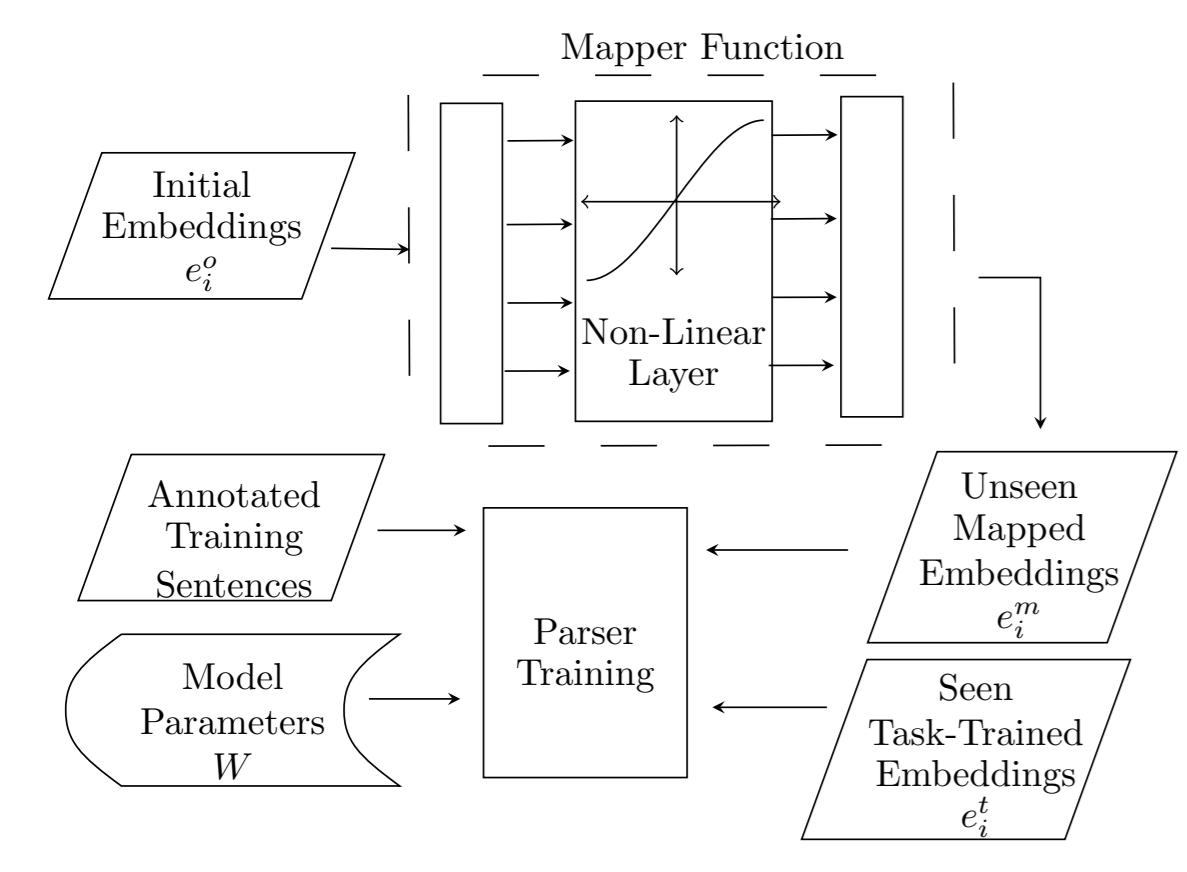


Figure: Test-time: Parsing with Mapped Embeddings

— Trained Mapper transforms original embeddings.

— Mapping Thresholds:

- Mapper-training Threshold ( $\tau_t$ ): Only train the mapper on words that appear  $\geq \tau_t$  times.
- Mapping Threshold ( $\tau_m$ ): Map parser-trained embeddings that appear  $\leq \tau_m$  times.
- Parser Threshold ( $\tau_p$ ): Parser only uses embeddings of words that occur  $\geq \tau_p$  times.

## Experimental Settings and Data

**Dependency Parser:** Standard feed-forward neural network based parser from Chen & Manning (2014)

**Word Embeddings:** 100-dimensional pre-trained GloVe word embeddings from Pennington et al. (2014).

**Data:** WSJ portion of Penn Treebank: Standard splits and predicted POS Tags.

Web Treebank: Train using OntoNotes-WSJ and testing on Web Treebank; We use a small split of the testset as held-out data for mapper.

**Downstream Task:** Experiments on Sentiment Analysis task, performed on Tai et al. (2015)'s Dependency Tree LSTM. Replace basic dependency parser with mapper enhanced version.

## Internals

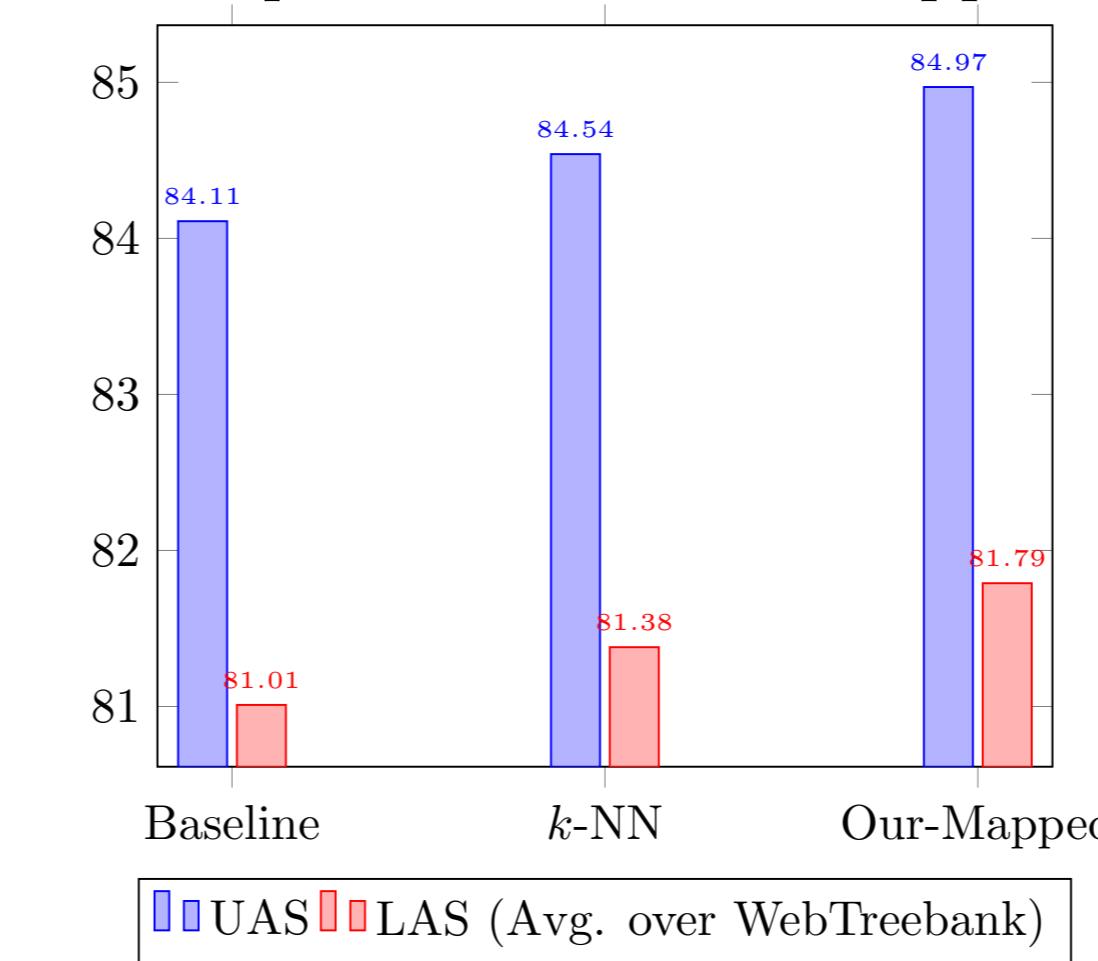
- Optimization using L-BFGS.
- $\alpha$  and  $\lambda$ s are tuned using held-out domain specific data.
- Initial embeddings to both the parser and the mapper are the same.
- Dimensionality of the non-linear layer is set to 400.
- Hyperparameters give us additional flexibility to map the embeddings for the domain of interest, especially when the training dataset and testing dataset are from different domains.

## Experiments

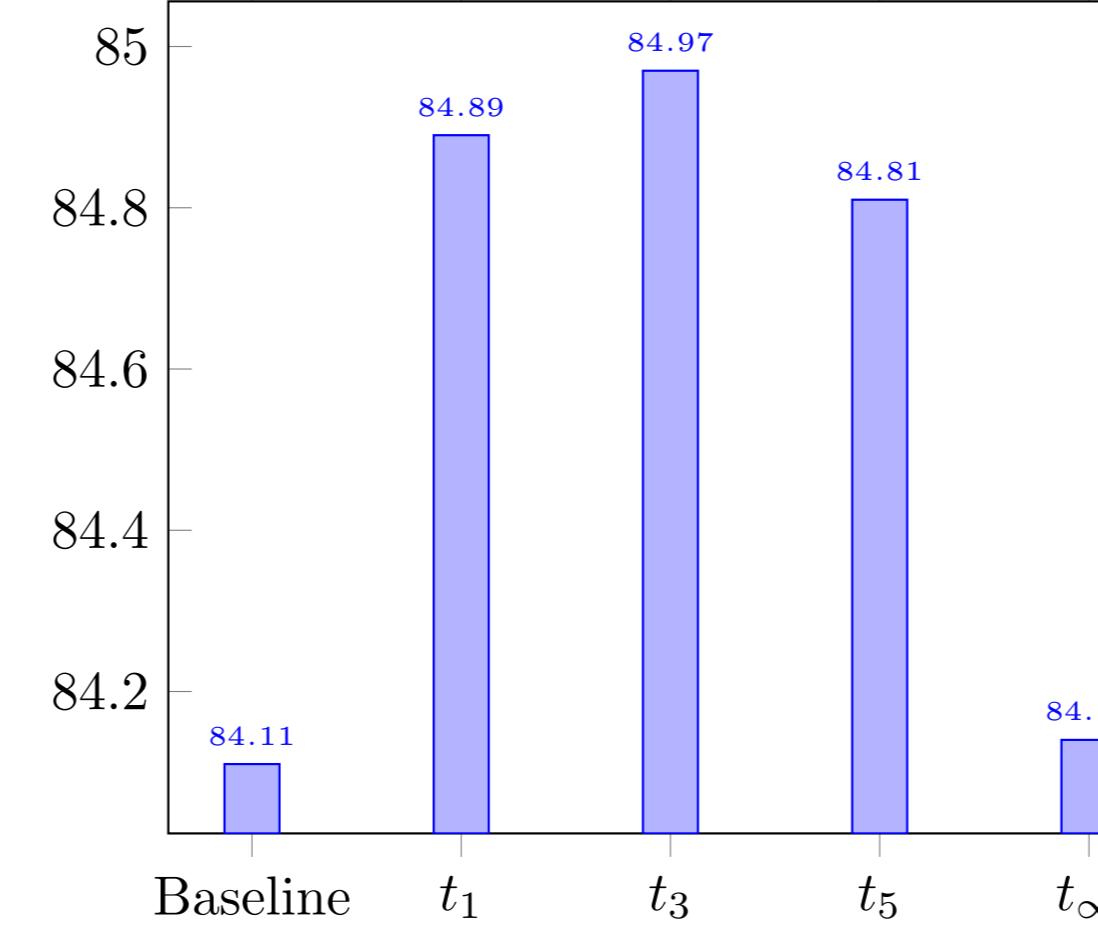
— Experiments on WSJ and Web Treebank

	Lower OOTV word rate		Higher OOTV word rate				
	WSJ	OntoNotes	Answers	Emails	Newsgroups	Reviews	Weblogs
UAS	91.85→92.21	90.17→90.49	82.67→83.21	81.76→82.42	84.68→85.13	84.25→84.99	87.73→88.43
OOTV %	2.72→1.45	2.72→1.4	8.53→1.22	10.56→3.01	10.34→1.04	6.84→0.73	8.45→0.38
OOTV UAS	89.88→90.51	89.27→89.81	80.88→81.75	79.29→81.02	82.54→83.71	81.17→82.22	86.43→87.31

— Comparison with  $k$ -NN approach:



— Effects of Mapper Thresholds:



— We consider the threshold in the following settings:

$$t_1 : \tau_m = \tau_t = \tau_p = 1$$

$$t_3 : \tau_m = \tau_t = \tau_p = 3$$

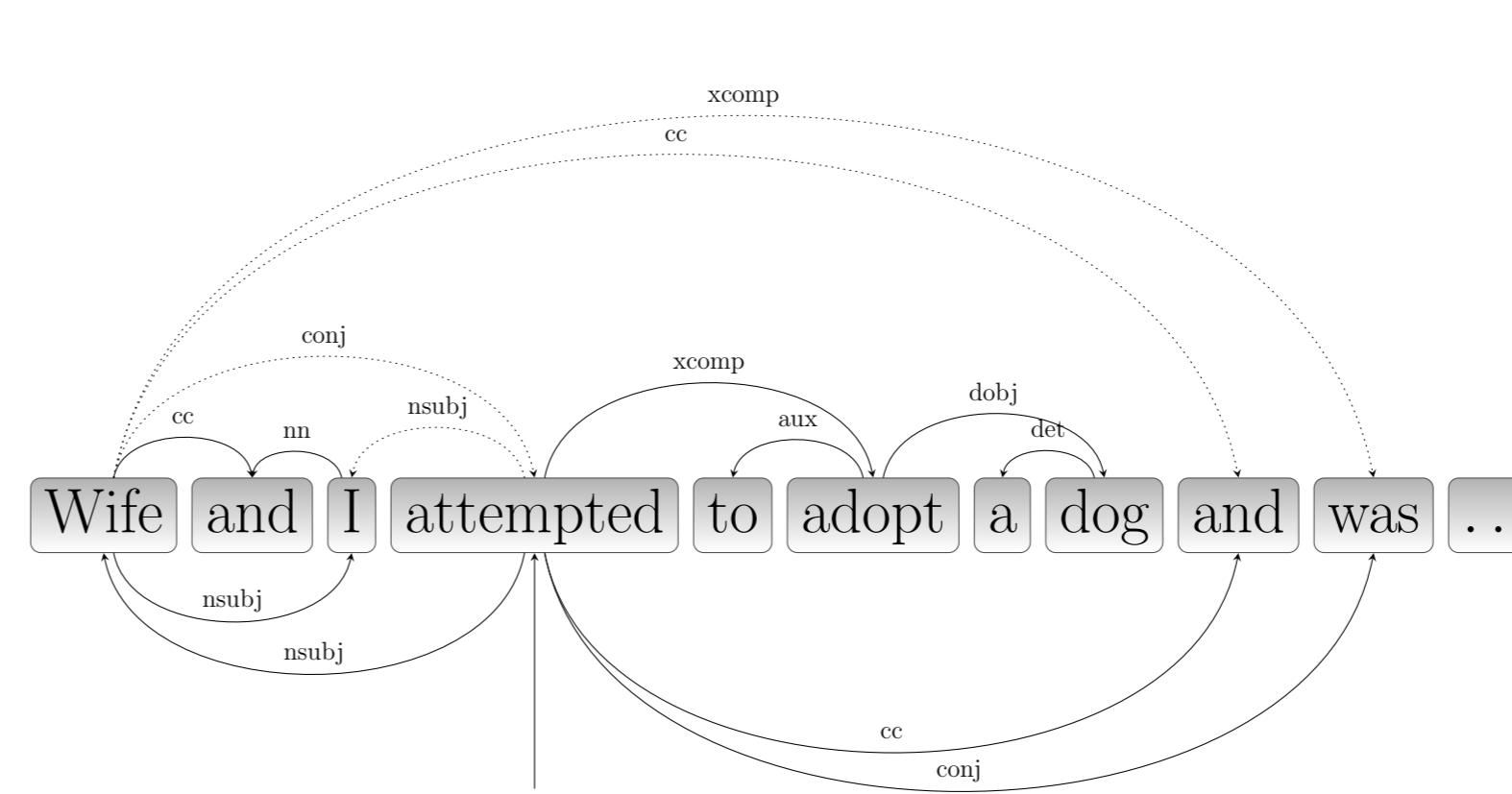
$$t_5 : \tau_m = \tau_t = \tau_p = 5$$

$$t_\infty : \tau_m = \infty, \tau_p = \tau_t = 5$$

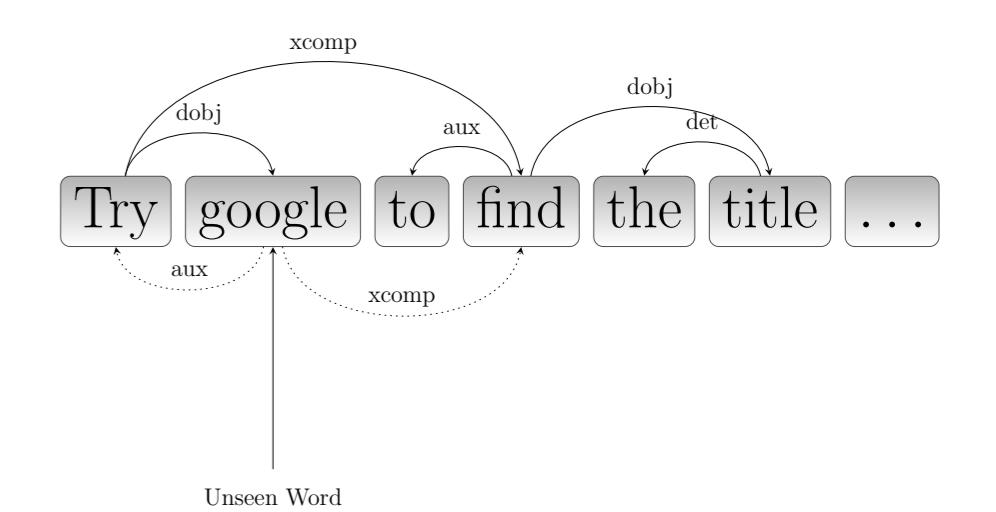
Using  $\tau_m = \infty$  corresponds to mapping all words at test time.

## What Works and What Doesn't

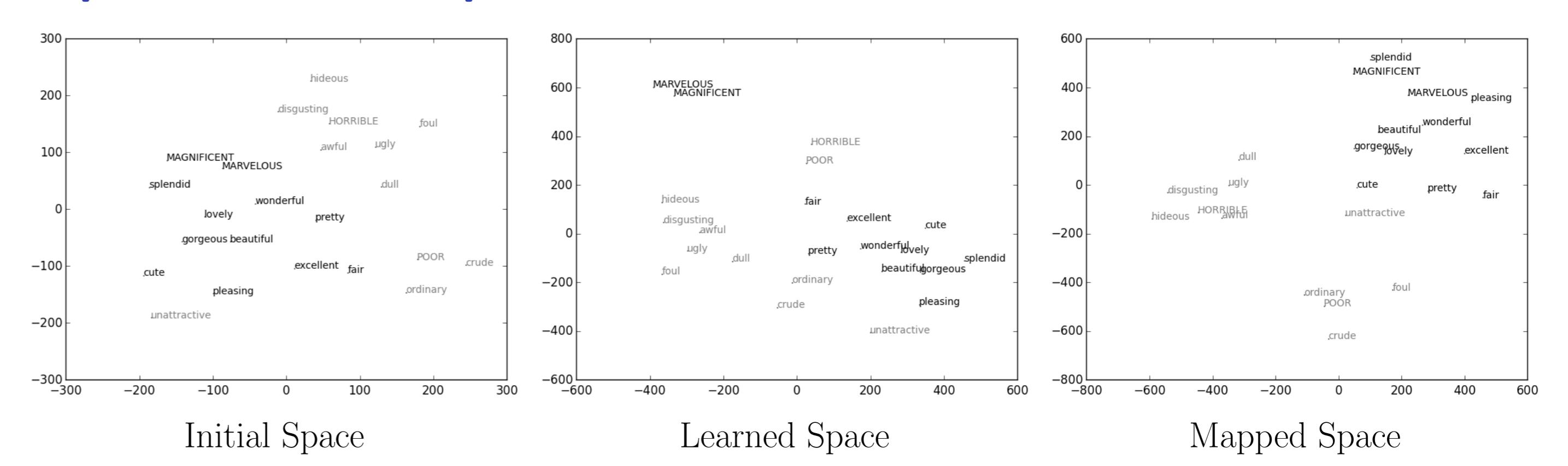
Mapper helps in obtaining the correct tree.



Mapper incorrectly maps 'google'



## Representational Spaces



## Observations and Conclusions

- A simple method to resolve unseen words when training supervised models that learn task-specific word embeddings:
- Significant improvements in dependency parsing accuracy across several domains, as well as improvements on a downstream task
- Approach is effective, and applicable to many other settings, both inside and outside NLP.