

# DPLL( $T$ ):Fast Decision Procedures

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**In Memoriam**

**Harald Ganzinger (1950-2004)**

# Overview of this talk

1. Introduction
2. Lazy vs eager approach
  - Lazy approach: advantages and disadvantages
  - Eager approach: advantages and disadvantages
3. DPLL( $T$ ): Our framework for SMT
  - The DPLL algorithm
  - Branching heuristics, unit propagation and conflict analysis
  - Comparison with existing approaches
4. A concrete case: EUF with offsets
  - A solver for EUF
  - Experimental results
5. Conclusions and future work

# SMT: Satisfiability modulo theories

$$g(a)=c \wedge ( f(g(a)) \neq f(c) \vee g(a)=d ) \wedge c \neq d$$

- **Theories of interest:** EUF [Burch and Dill '94], CLU [Bryant, Lahiri and Seshia '02], separation logic [BLS '03], arrays, ...
- **Applications:** circuit design, compiler optimization, planning, scheduling, software/hardware verification, ...

## Lazy vs eager approach

### Lazy approach

The following three steps are iterated

- SAT solver looks for a propositional model
  - Specialized procedure for conjunctions of literals checks its consistency
  - If model consistent then formula is SAT, otherwise a **lemma** is added precluding the model
- **constraints** imposed by the theory introduced **on demand**
- Lazy/eager notification, online/offline SAT solver, extraction of inconsistency proofs [Armando et al '00; deMoura and Rues '02; Barret, Dill and Stump '02; Flanagan et al '03, etc]

## Lazy vs eager approach

### Lazy approach

- **Advantages:**
  - Use of off-the-shelf theory solvers
  - Can use of **almost** off-the-shelf SAT solvers
- **Disadvantages:**
  - Information from the theory only used to **validate** propositional models
  - Too many iterations may be required
- **Tools:** SVC, CVC (Lite), ICS, VeriFun, MathSAT

## Lazy vs eager approach

### Eager approach

- formula converted into an **equisatisfiable** propositional one to be checked by a SAT solver
- Two steps (for **CLU**)
  - Functional symbols are removed, only constants left
  - (in)Equality is removed
- Small-domain encoding (**SD**) [Pnuelli et al '99, BLS '02], Per-constraint encoding (**EIJ**) [Bryant, German and Velev '02; Bryant and Velev '02], **Hybrid** methods [BLS '02, '03]

## Lazy vs eager approach

### Eager approach: different encodings

Given the equality formula:

$$(k_1 = k_2 \vee k_3 = k_4) \wedge (k_2 = k_3 \vee k_1 = k_4 \vee k_2 = k_4)$$

**Small Domain encoding (SD):** propositional formula small but suffers from loss of structure

$$\begin{aligned} & (x_{11} \vee ((x_{31} \wedge x_{41}) \vee (\neg x_{31} \wedge x_{32} \wedge \neg x_{41}))) \wedge \\ & (x_{31} \vee ((x_{11} \wedge x_{41}) \vee (\neg x_{11} \wedge x_{12} \wedge \neg x_{41}))) \vee x_{41} \end{aligned}$$

**Per-constraining encoding (EIJ):** structure preserved but size may be exponential if pred/succ allowed

$$\begin{aligned} & (e_{12} \vee e_{34}) \wedge (e_{23} \vee e_{14} \vee e_{24}) \\ & e_{12} \wedge e_{24} \Rightarrow e_{14} \\ & e_{12} \wedge e_{14} \Rightarrow e_{24} \\ & \dots \end{aligned}$$



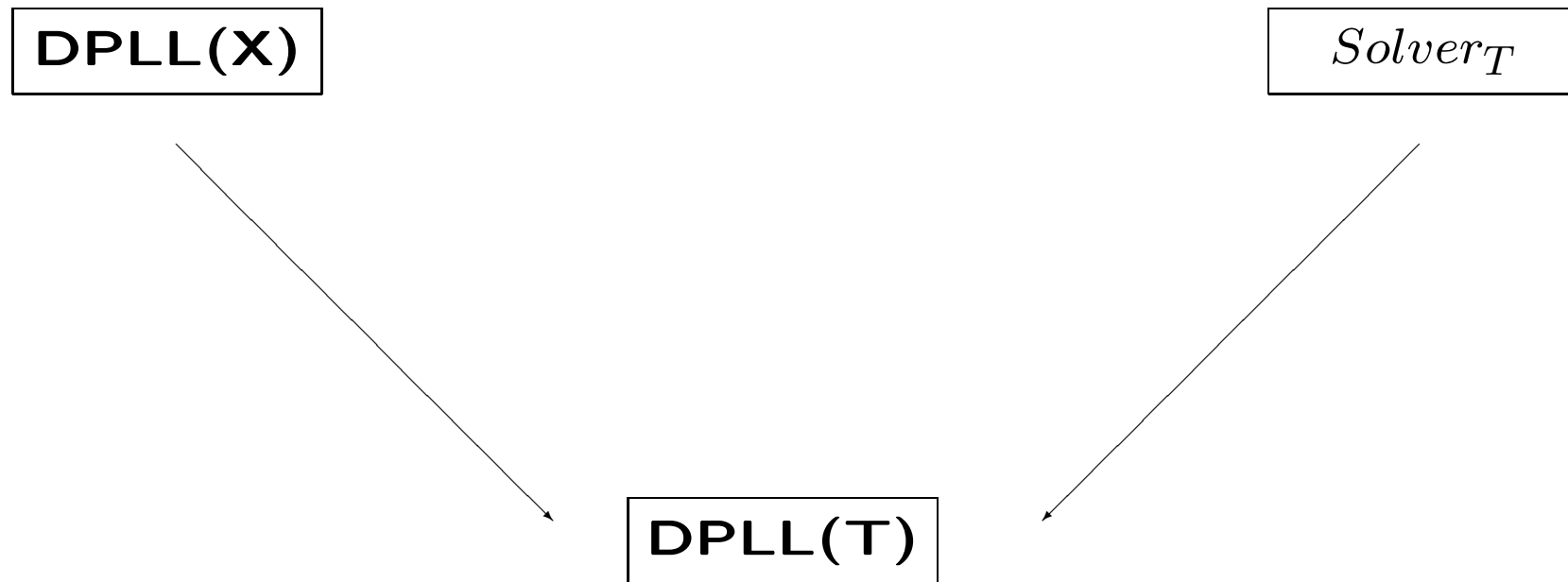
## Lazy vs eager approach

### Eager approach

- **Advantages:**
  - Best SAT solver may be used as is
  - Theory information compiled into the translated formula
- **Disadvantages:**
  - Loss of formula structure, exponential blowup in size
  - Limited range of application
- **Tools:** UCLID

3.-DPLL( $T$ ): Our framework for SMT

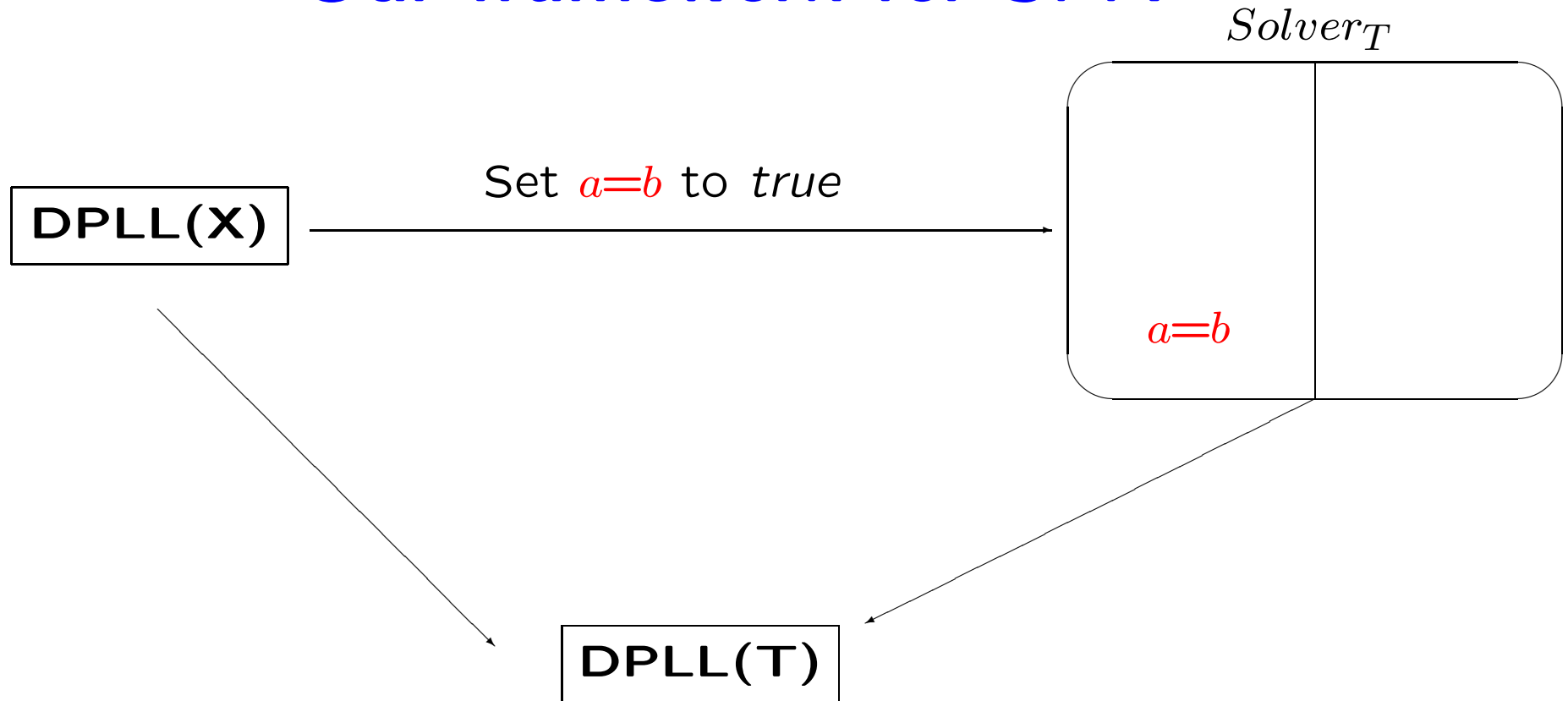
## Our framework for SMT



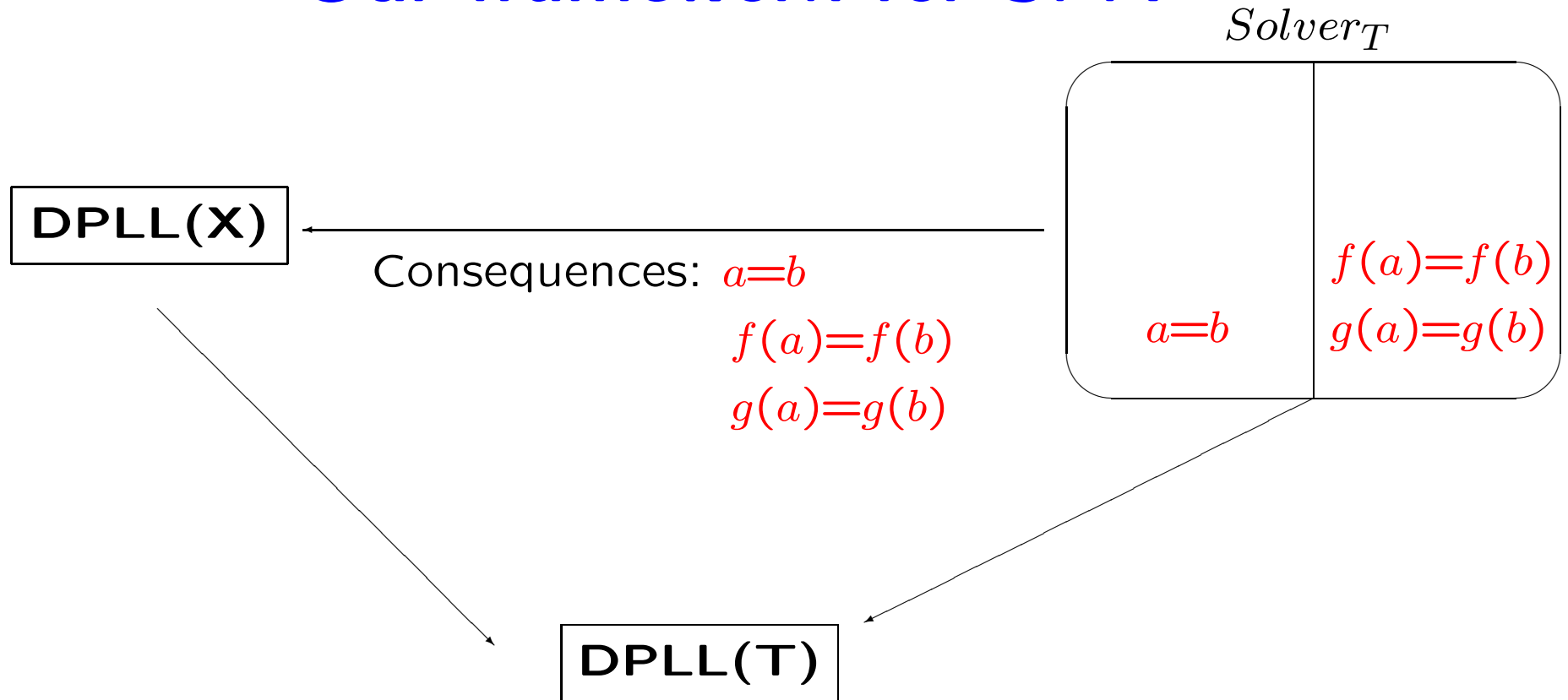
Based on theoretical calculus in [Tinelli'02]

3.-DPLL(T): Our framework for SMT

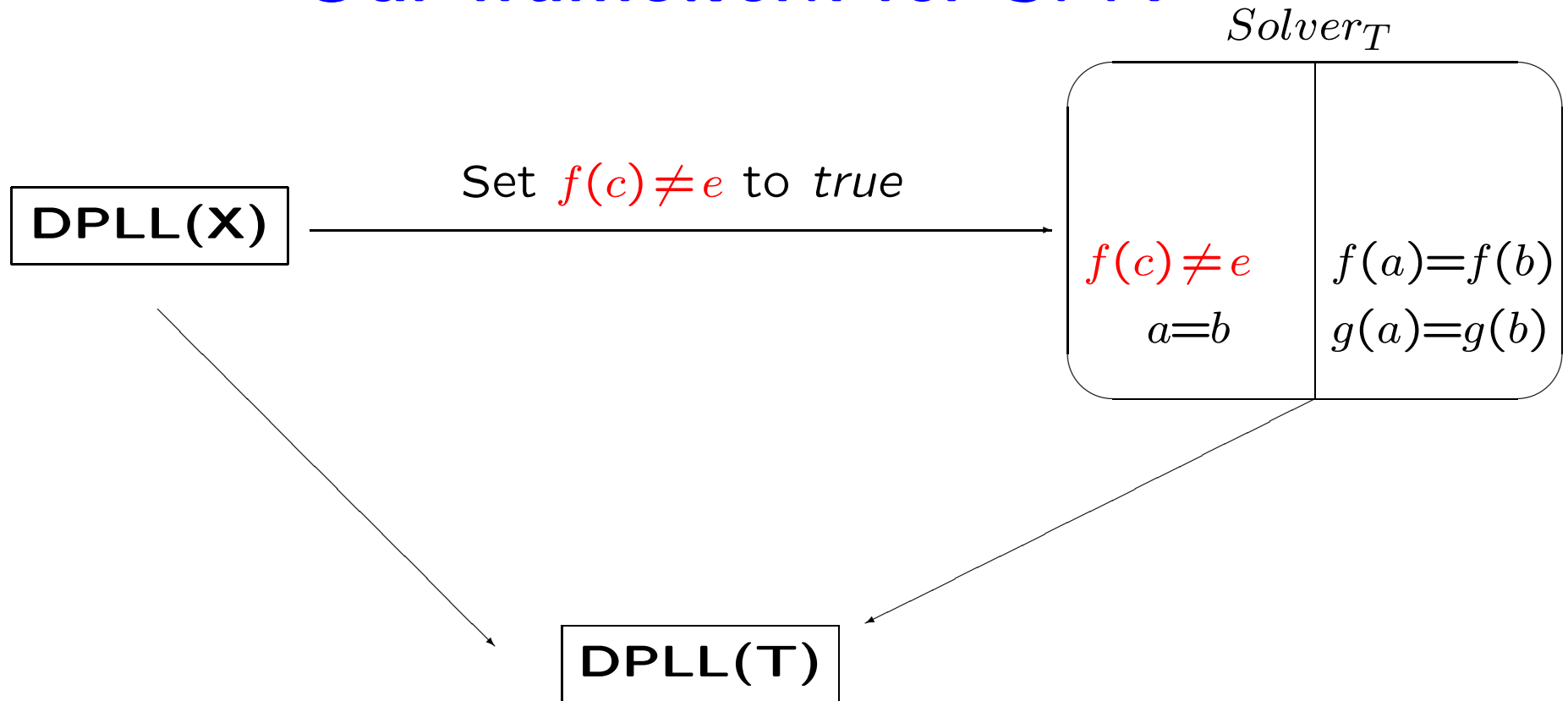
# Our framework for SMT



# Our framework for SMT

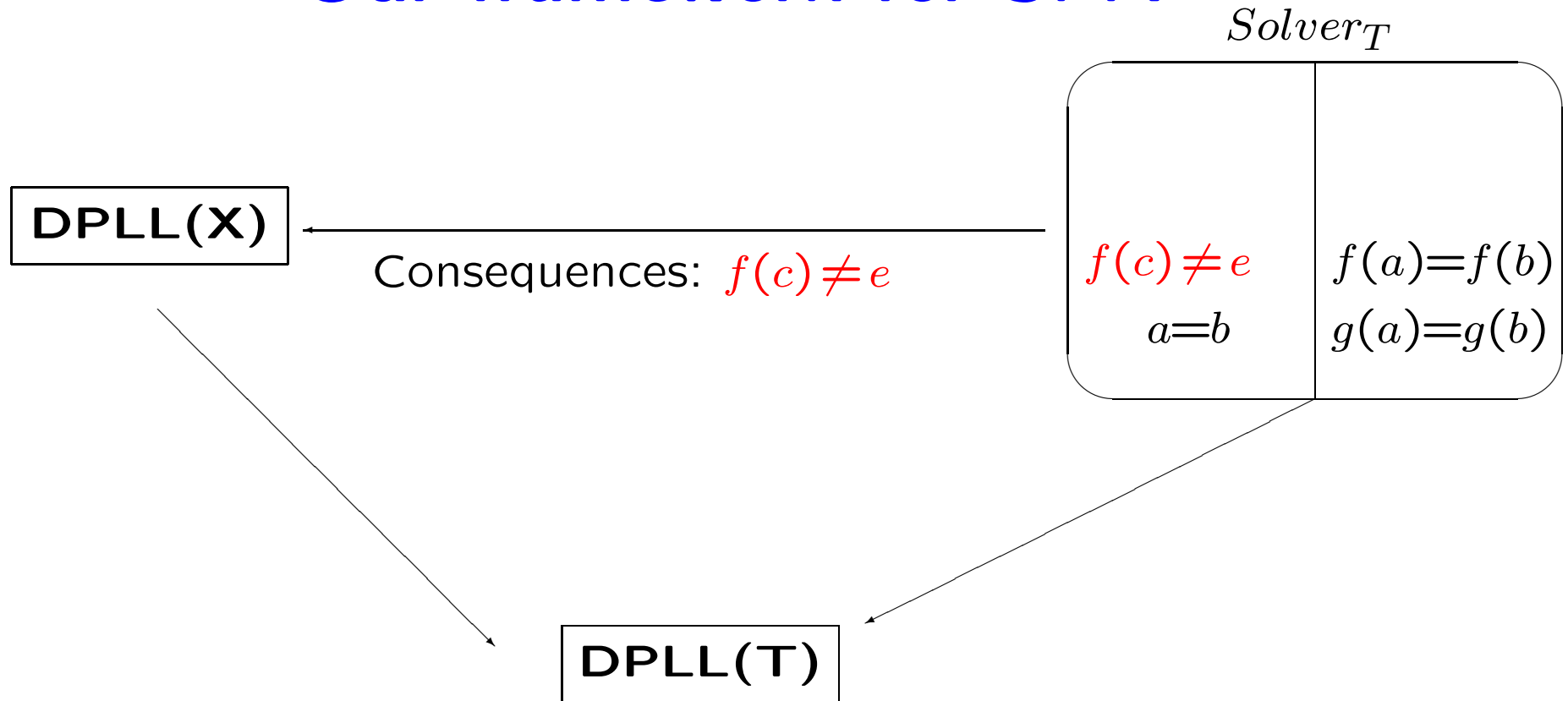


# Our framework for SMT

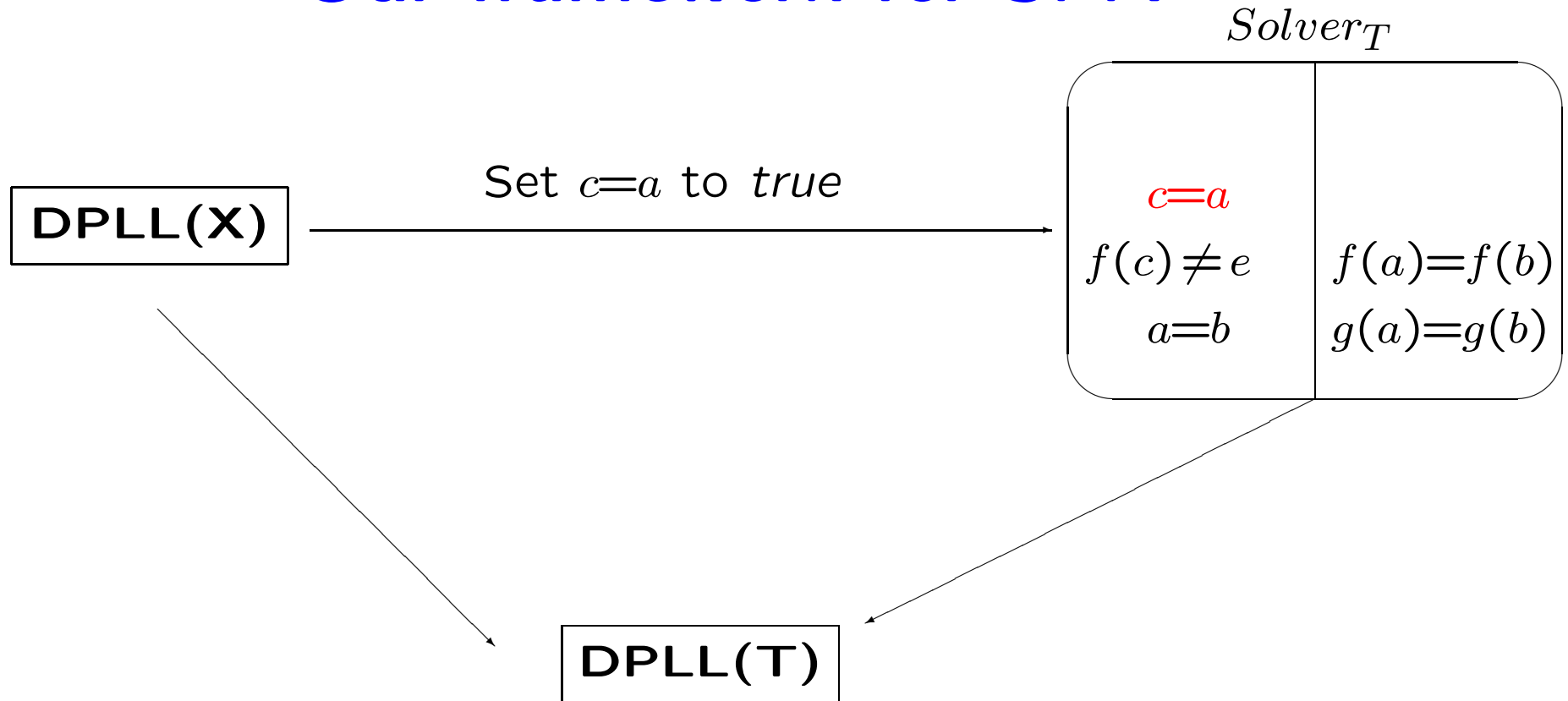


3.-DPLL( $T$ ): Our framework for SMT

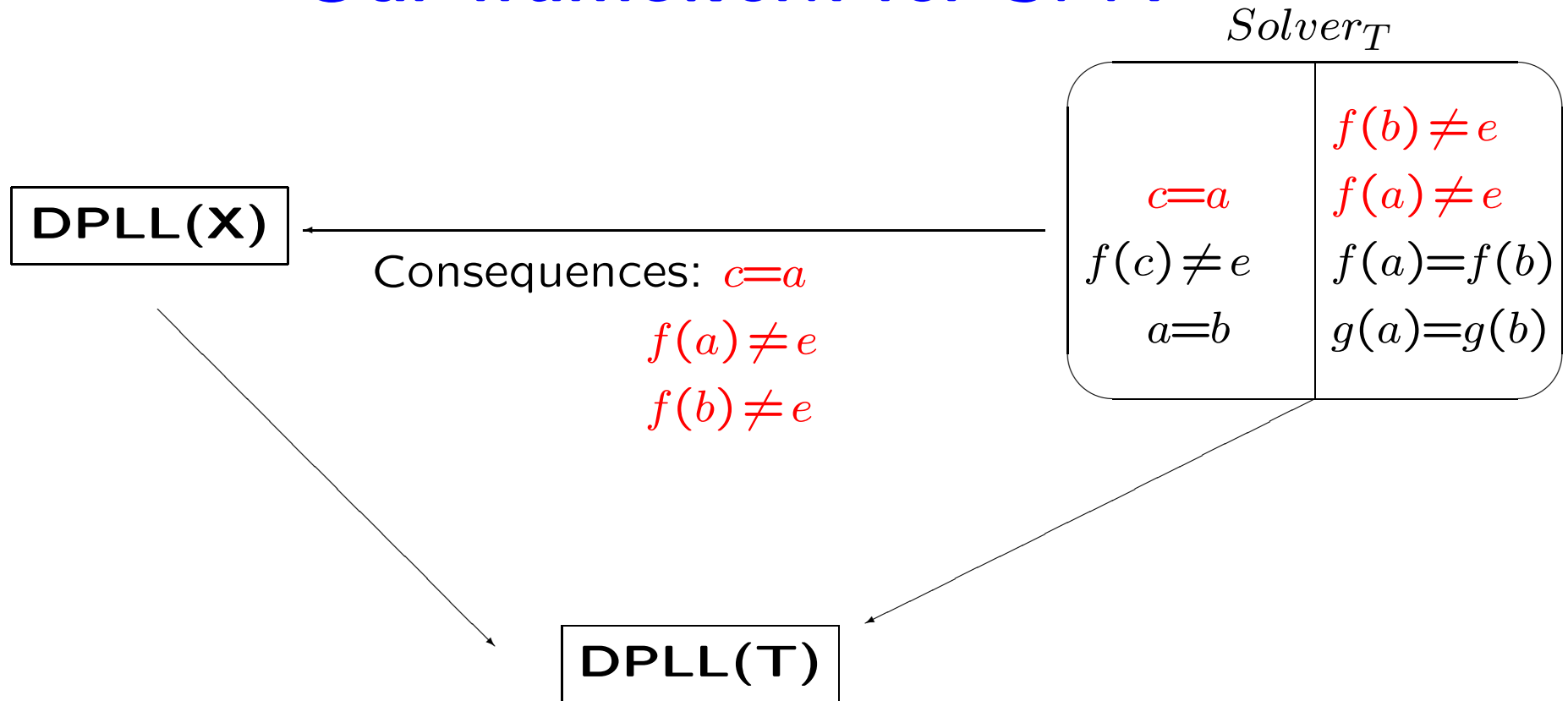
# Our framework for SMT



# Our framework for SMT

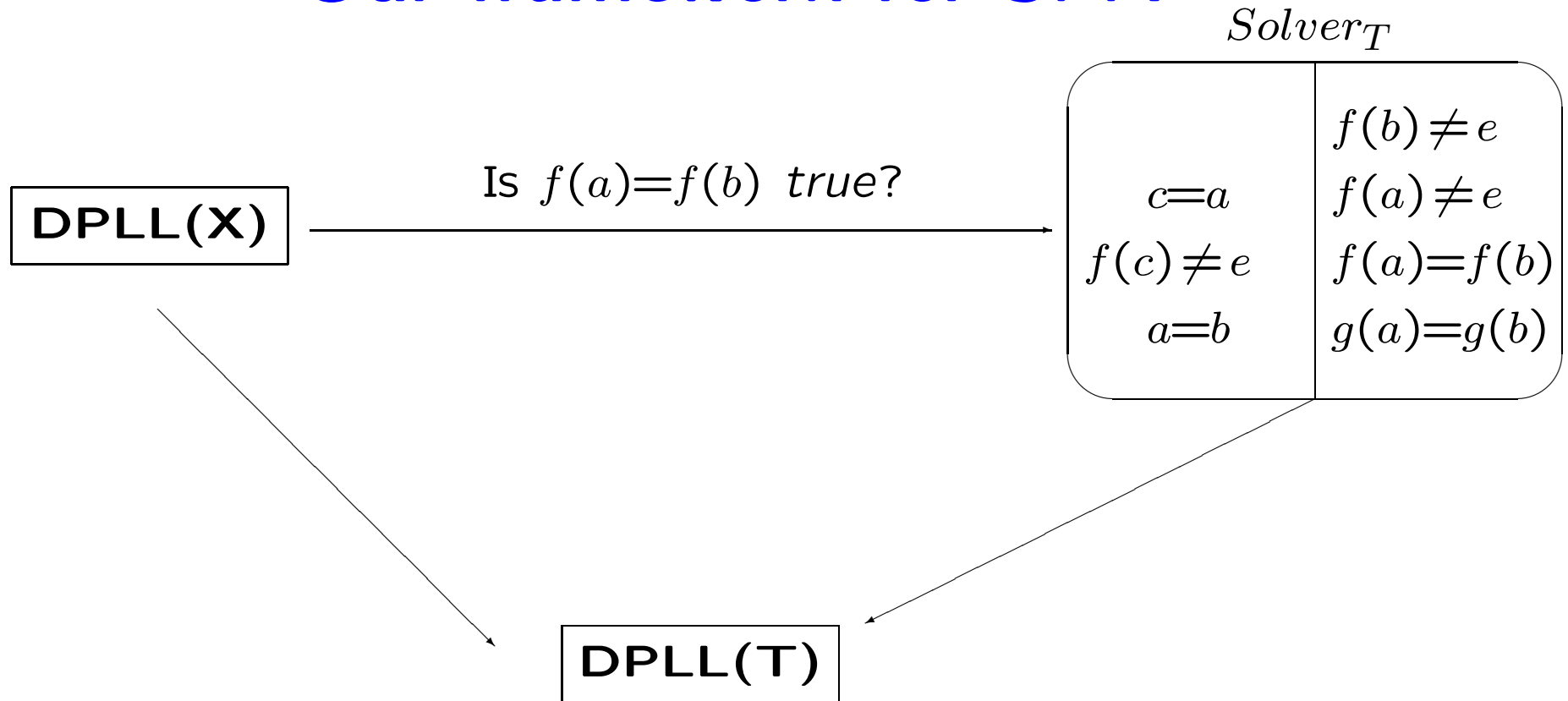


# Our framework for SMT



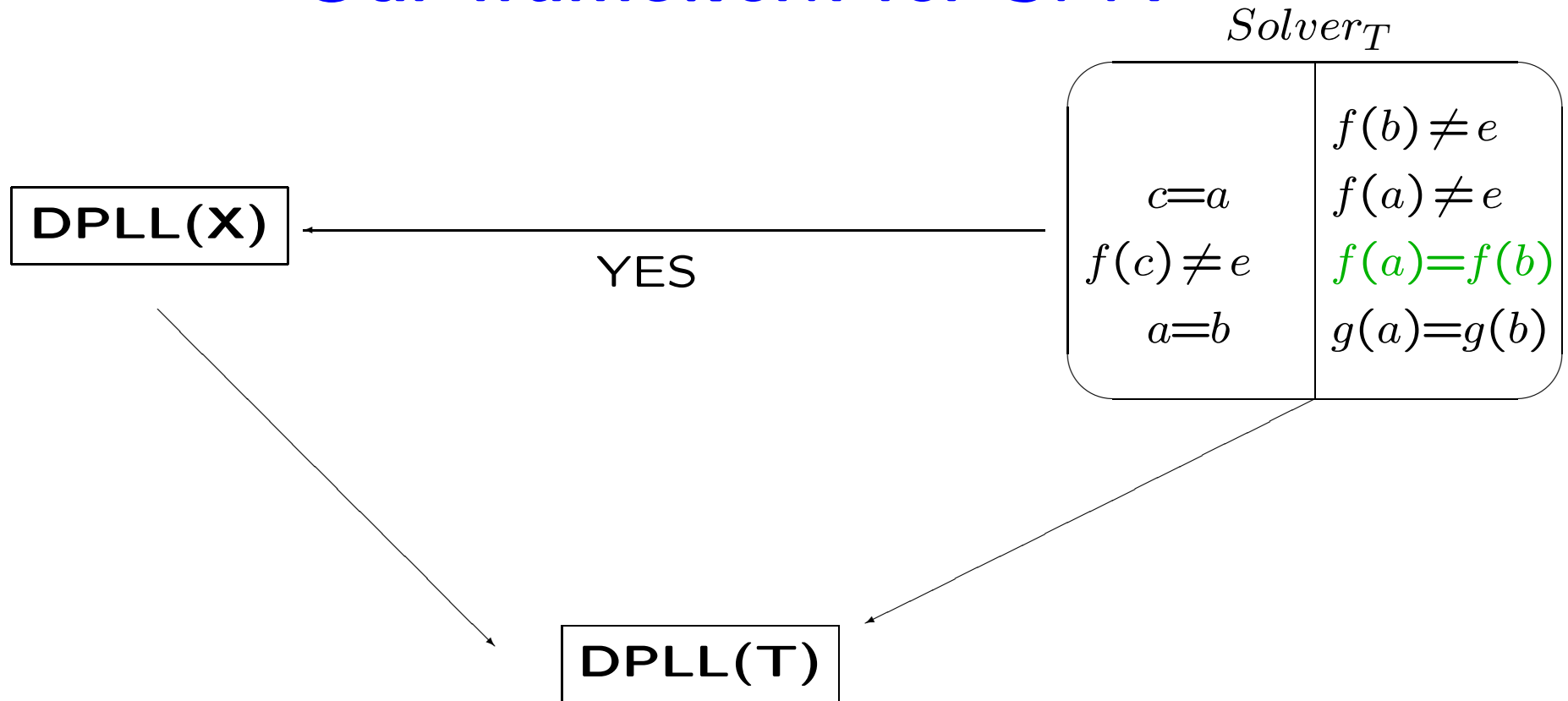


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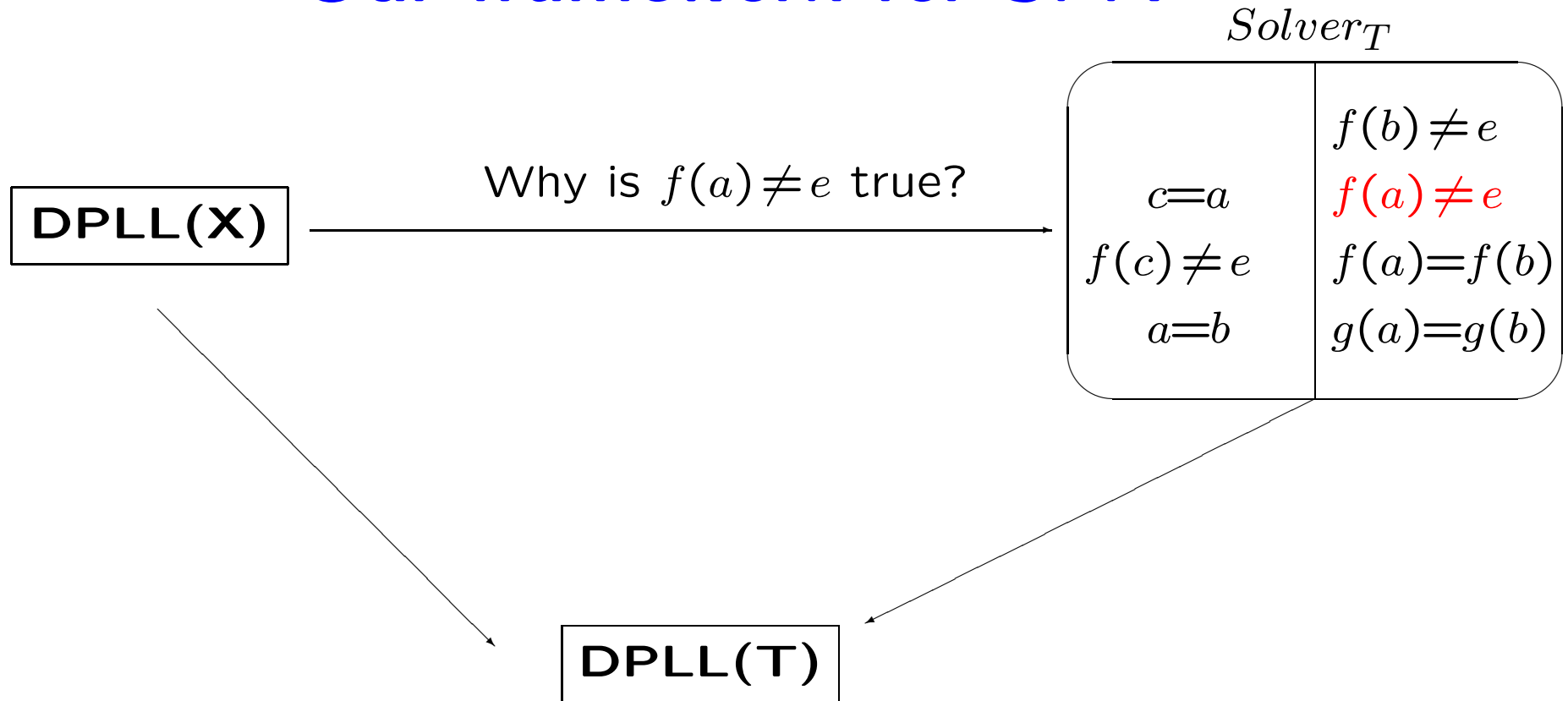


3.-DPLL(T): Our framework for SMT

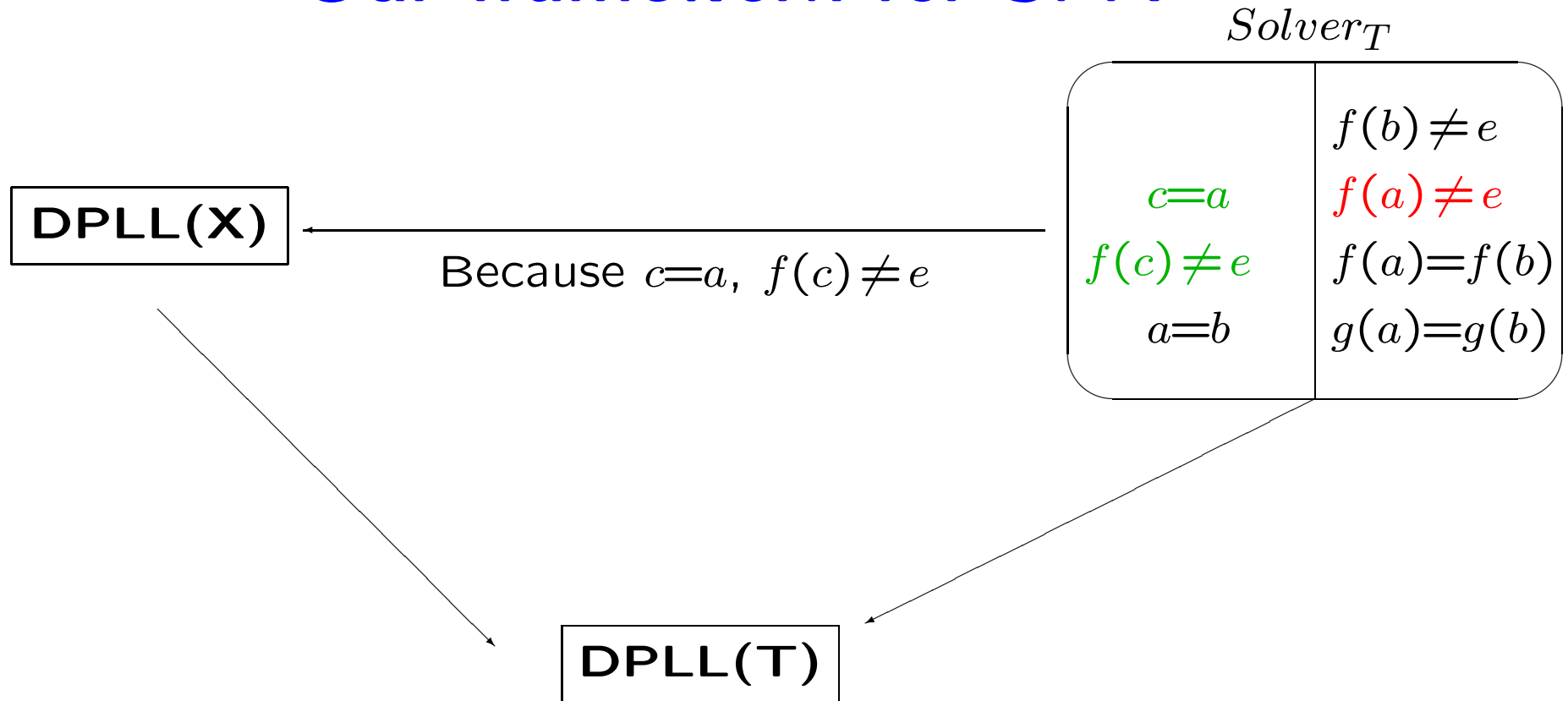
# Our framework for SMT



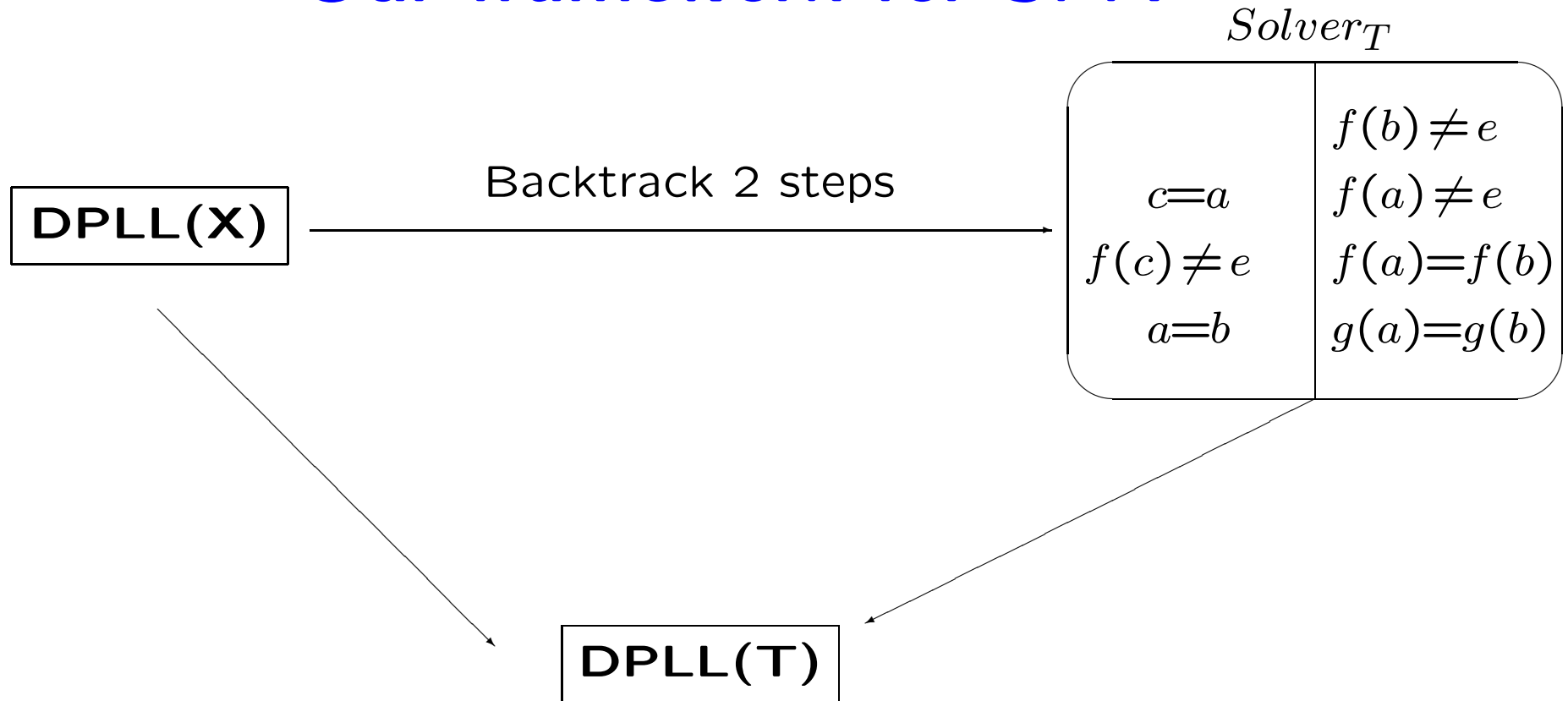
# Our framework for SMT



# Our framework for SMT

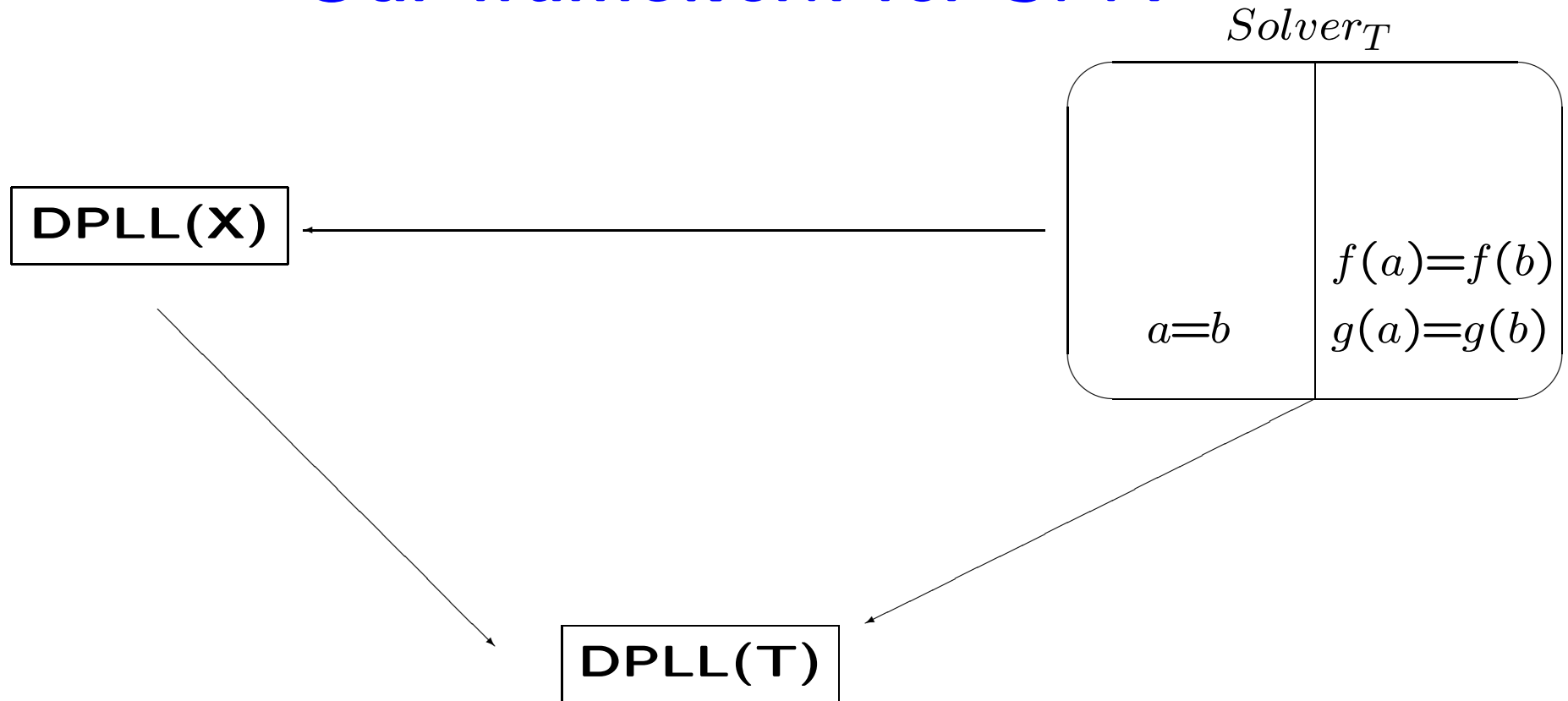


# Our framework for SMT



3.-DPLL( $T$ ): Our framework for SMT

# Our framework for SMT



## The Davis-Putnam algorithm (DPLL)

- Depth-first search algorithm with backtracking
- At each point, the algorithm keeps a partial interpretation and tries to extend it
- Three successful mechanisms to speed up the search
  - **Branching heuristic**: determines the literal to extend the interpretation
  - **Unit propagation**: prunes the search space
  - **Conflict Analysis**: indicates where to backtrack to and adds lemmas

3.-DPLL( $T$ ): Our framework for SMT

## Branching heuristics

- Unassigned literal with the **highest score** is selected
- New literals introduced in CNF translation can be selected
- **VSIDS** heuristic [Moskewicz et al '01]



3.-DPLL( $T$ ): Our framework for SMT

## T-based Branching heuristics

- Unassigned literal with the **highest score** is selected
- New literals introduced in CNF translation can be selected
- **VSIDS** heuristic [Moskewicz et al '01]
- **Theory-dependent** heuristics

## Unit Propagation

- A literal appearing in a **unit clause** has to be true

- **EXAMPLE:**

- Consider the binary clause

$$a \neq d \vee g(c) = h(a)$$

- Now add  $a = d$  to the interpretation.
  - The binary clause becomes unit and  $g(c) = h(a)$  is added to the interpretation
- State-of-the-art mechanism to detect unit clauses: **two watched literal scheme** [Moskewicz'01]

## T-based Unit Propagation

- A literal appearing in a **unit clause** has to be true
- **EXAMPLE:**
  - Consider the binary clause
$$c \neq d \vee g(c) = h(a)$$
  - Now add  $a = d$  to the current interpretation  $I = \{a = c\}$ .
  - The binary clause becomes unit due to the theory and  $g(c) = h(a)$  is added to the interpretation
- Literals returned by **setTrue** allow  $DPLL(X)$  to detect these unit clauses

3.-DPLL( $T$ ): Our framework for SMT

## Conflict analysis

- Analysis performed on the **implication graph**
- Literals true due to
  - **decision** (no antecedent in the graph)
  - **Unit propagation**
- Learning schemes: decision scheme, **1UIP**, 2UIP, AllUIP

## T-based Conflict analysis

- Analysis performed on the **implication graph**
- Literals true due to
  - **decision** (no antecedent in the graph)
  - **$T$ -based unit propagation**
- Learning schemes similar to decision scheme, **1UIP**, 2UIP, AllUIP
- UIP-based learning schemes **do not lift** with **non-exhaustive solvers**

3.-DPLL( $T$ ): Our framework for SMT

## Comparison with existing approaches

- Neither loss of structure nor blowup in size
- Theory information used to **drive** the search
- **General** framework
- Benefits from improvements in SAT technology

## A concrete case: EUF with offsets

- Extension of EUF, but not full CLU
- The syntax is:

$$\begin{aligned} \textit{formula} ::= & \text{true} \mid \text{false} \mid \textit{predicateSymbol}(\textit{int\_term}, \dots, \textit{int\_term}) \\ & \mid \neg \textit{formula} \mid (\textit{formula} \vee \textit{formula}) \\ & \mid (\textit{formula} \wedge \textit{formula}) \mid (\textit{int\_term} = \textit{int\_term}) \end{aligned}$$
$$\begin{aligned} \textit{int\_term} ::= & \textit{functionSymbol}(\textit{int\_term}, \dots, \textit{int\_term}) \\ & \mid \textit{ite}(\textit{formula}, \textit{int\_term}, \textit{int\_term}) \\ & \mid \textit{succ}(\textit{int\_term}) \mid \textit{pred}(\textit{int\_term}) \end{aligned}$$

## A solver for EUF with offsets

- New DST-like algorithm for CC with offsets [Nieuwenhuis and Oliveras '03] is the key ingredient
- Two initial transformations at the formula level done **once and for all**
- After that, only (dis)equalities between constants



4.-A concrete case: EUF with offsets

## A solver for EUF with offsets

The full solver is an extension of the CC algorithm:

- Deals with **disequalities**
- **Incremental** and **backtrackable**
- **Explanations** based on CC with proof extraction  
[Nieuwenhuis and Oliveras '04]

## Experimental results

Comparison with **lazy approaches**:

Family	SVC	ICS	DPLL(T)
Buggy Cache	(1 T) 6000	179	7
Code Validation	57	55	4
DLX processor	17	4	1
Elf processor	(1 T) 6078	(4 T) 24001	575
OOO-rf	(2 T) 12666	(2 M) 12458	6385
OOO-tag	(4 T) 28768	(2 M, 2 T) 24050	1979
Load-Store	(3 T) 18475	(1 M, 1 T) 12167	30
Cache Protocol	(4 T) 26112	(5 T) 32022	3601
Two queues	1872	(2 M) 12175	74

T: timeout (more than 6000s.)

M: out of memory, counted as timeout

4.-A concrete case: EUF with offsets

## Experimental results

Comparison with **eager approaches** (using BerkMin):

Family	SD	Hybrid	DPLL(T)
Buggy Cache	2	3	7
Code Validation	45	28	4
DLX processor	10	13	1
Elf processor	5882	3182	575
OOO-rf	(2 T) 18211	(1 T) 10126	6385
OOO-tag	247	6918	1979
Load-Store	51	45	30
Cache Protocol	4151	209	3601
Two queues	407	793	74

4.-A concrete case: EUF with offsets

## Experimental results

Comparison with **eager approaches** (using Siege):

Family	SD	Hybrid	DPLL(T)
Buggy Cache	2	4	7
Code Validation	34	28	4
DLX processor	12	13	1
Elf processor	3585	1653	575
OOO-rf	(3 T) 18689	(2 T) 13180	6385
OOO-tag	211	(1 T) 7600	1979
Load-Store	54	45	30
Cache Protocol	4594	228	3601
Two queues	858	(1 T) 6809	74

# Conclusions and future work

## Conclusions:

- New approach for SMT
- Combines advantages of lazy and eager approaches
- Experimental tests are highly positive

## Future work:

- Experiment with more theories
- Define isolated core functionalities of the *DPLL(X)* engine
- Extend to non-quantifier-free formulas