



# ***Tractable Clones of Polynomials over Semigroups***

Víctor Dalmau  
UPF, Barcelona

Ricard Gavaldà  
UPC, Barcelona

Pascal Tesson  
U. Laval, Québec

Denis Thérien  
U. McGill, Montréal

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# Setting

- ⑥ Finite domain  $D$
- ⑥ Finite set of relations  $\Gamma$  over  $D$
- ⑥ CSP( $\Gamma$ ) : Constraint Satisfaction Problems with relations from  $\Gamma$

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- ⑥  $\text{CSP}(\Gamma)$  : Constraint Satisfaction Problems with relations from  $\Gamma$

Question:

- ⑥ For which  $\Gamma$  is  $\text{CSP}(\Gamma)$  solvable in polynomial time?
- ⑥ Or: Find conditions on  $\Gamma$  which imply (in)tractability

# The Algebraic Approach

A relation  $R$  is closed under function  $f$  if

$$T_1, \dots, T_k \in R \quad \longrightarrow \quad f(T_1, \dots, T_k) \in R$$

**Fact.** [Jeavons98]

The complexity of  $\text{CSP}(\Gamma)$  is completely determined by the set of functions  $f$  that close all relations in  $\Gamma$

Several large classes of tractable  $\Gamma$  have been described in terms of “closure properties”

# *Some Tractable Operations*

Near-Unanimity [JCG97]

Semilattices [JCG97]

Coset-Generating [FV93,JCG97]

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This paper

## Some Tractable Operations (2)

Coset-generating op.:

$(D, \cdot)$  a group,  $\Gamma$  closed under  $x \cdot y^{-1} \cdot z$

Semilattice op.:

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**Note:** Closure under  $x \cdot y$  implies closure under  $x \cdot y^{-1} \cdot z$

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Block-group op.:

$(D, \cdot)$  a block-group,  $\Gamma$  closed under  $x \cdot y$

**Theorem.** If  $(D, \cdot)$  is a block-group and  $\Gamma$  is closed under  $x \cdot y^{\omega-1} \cdot z$ , then  $\text{CSP}(\Gamma)$  is tractable.

# A Unifying Result

**Theorem.** If  $(D, \cdot)$  is a block-group and  $\Gamma$  is closed under  $x \cdot y^{\omega-1} \cdot z$  then  $\text{CSP}(\Gamma)$  is tractable.

$\omega$ : minimum such that  $(x^\omega)^2 = x^\omega$

Block group: Satisfies  $(x^\omega y^\omega)^\omega = (y^\omega x^\omega)^\omega$

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Proof idea:

- ⑥ Apply first Arc-Consistency, as for semilattices.  
This places every variable in one of the groups in  $(D, \cdot)$
- ⑥ Then apply the coset-generating technique within each group
- ⑥ Both techniques will not interfere in block-groups

# Polynomials

Observation:

In the boolean domain, our algorithm solves instances containing e.g. (only) Horn clauses or (only) linear equations.

In larger domains, it solves problems that “decompose” as Horn *then* linear equations.

# Polynomials

⑥ Why only  $x \cdot y$  or  $x \cdot y^{\omega-1} \cdot z$ ?

⑥ Polynomial: an expression of the form

$$x_{i_1}^{n_1} \cdot x_{i_2}^{n_2} \cdot \dots \cdot x_{i_m}^{n_m}$$

⑥ Interpret  $\cdot$  is as product over a semigroup  $S = (D, \cdot)$ .  
Then the polynomial computes a function.

⑥  $\text{Pol}(\Gamma) =$  set of functions that close all relations in  $\Gamma$

⑥ Study  $\text{CSP}(\Gamma)$  when  $\text{Pol}(\Gamma)$  is a “clone of polynomials”

# Why Study Polynomials?

- ⑥ They alone explain several of the known tractable cases
- ⑥ Based on semigroup operations . . .
- ⑥ . . . and we have fine tools for decomposing / analyzing semigroups
- ⑥ May indicate more ways to combine existing tractability paradigms

# *Intractable Clones of Polynomials*

A condition on the semigroup:

**Theorem.** If  $S$  is not a block-group, then every clone of polynomials over  $S$  is NP-complete.

A condition on the clone:

**Theorem.** If a clone of polynomials  $\mathcal{C}$  is a  $d$ -factor then  $\mathcal{C}$  is NP-complete.

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- ⑥ E.g., not like  $xy^{\omega-1}z$
- ⑥ Condition preserved by composition and variable identification
- ⑥ Implies that there is a “hard” subuniverse of  $D$  preserved by  $\mathcal{C}$ , hence NP-completeness

# *Tractability within Block-groups?*

$\mathcal{C}$  clone of polynomials over a block-group

“ $\mathcal{C}$  not a  $d$ -factor” necessary condition for tractability

For some block-groups, it is also sufficient:

- ⑥ Commutative semigroups
- ⑥ Nilpotent groups

## *Tractability within Block-groups? (2)*

**Theorem.** If  $S$  is a **commutative** semigroup and  $\mathcal{C}$  a nontrivial, idempotent clone of polynomials over  $S$ , then the following are equivalent:

- 1)  $\mathcal{C}$  is tractable
- 2)  $\mathcal{C}$  is not a  $d$ -factor, for any  $d$
- 3)  $\mathcal{C}$  contains  $x \cdot y^{\omega-1} \cdot z$

## ***Tractability within Block-groups? (3)***

**Theorem.** If  $S$  is a **nilpotent group** and  $\mathcal{C}$  a nontrivial, idempotent clone of polynomials over  $S$ , then the following are equivalent:

- 1)  $\mathcal{C}$  is tractable
- 2)  $\mathcal{C}$  is not a  $d$ -factor, for any  $d$
- 3)  $\mathcal{C}$  contains a Malt'sev operation

# Summary

- ⑥ Polynomials over semigroups are a natural way of computing  $k$ -ary functions
- ⑥ The polynomial  $xy^{\omega-1}z$  over block-groups is sufficient for tractability; this unifies two existing results: coset-generating and block-group product
- ⑥ It is also necessary for tractability of commutative clones of polynomials
- ⑥ Conjecture: Its generalization, Malt'sev, is necessary over clones polynomials over groups