# Weighthed voting games

Spring 2024

AGT-MIR	

**Cooperative Game Theory** 

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#### 1 Weighted voting games

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A Weighted voting game (WVG) is a simple game for which there exists a quota q and it is possible to assign to each i ∈ N a weight w<sub>i</sub>, so that

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A explicit representation as [q; w] for a WVG  $\Gamma$  is called a realization of  $\Gamma$ .

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- But  $\Gamma_1 = [5; 3, 3]$  and  $\Gamma_2 = [2; 1, 1]$  also describe the same game.

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- Two realizations [q<sub>1</sub>; w<sub>1</sub>] and [q<sub>2</sub>; w<sub>2</sub>] on the same set N players are equivalent if, for S ⊆ N, w<sub>1</sub>(S) ≥ q<sub>1</sub> iff w<sub>2</sub>(S) ≥ q<sub>2</sub>.

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- The notion of equivalence naturally extends to other representations forms for simple games.

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# Integrality of Weights and Quota

#### Theorem

For any weighted voting game  $\Gamma = [q; w]$  with |N| = n, there exists an equivalent weighted voting game  $\Gamma' = [q'; w']$  such that

• Γ and Γ' are equivalents,

• 
$$w' \in (\mathbb{Z}^+)^n$$
 and  $q' \in \mathbb{Z}^+$ , and

• 
$$w'_{max} = O(2^{n \log n}).$$

[Carreras and Freixas, Math. Soc.Sci., 1996] It can be deduced from [S. Muroga. Threshold Logic and its Applications, 1971].

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- We write w(C) to denote the total weight of a coalition C, i.e., we set w(C) = ∑<sub>i∈C</sub> w<sub>i</sub>

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- We set  $w_{max} = \max_{i \in N} w_i$

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A simple game (N, W) is

- strong if  $S \notin W$  implies  $N \setminus S \in W$ .
- proper if  $S \in W$  implies  $N \setminus S \notin W$ .

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• We analyze the complexity of the IsPROPER and IsSTRONG problems when the input game is an integer realization [*q*; *w*] of a WVG Γ.

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Input: n integer values,  $x_1, \ldots, x_n$ Question: Is there  $S \subseteq \{1, \ldots, n\}$  for which

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• Observe that, for any instance of the PARTITION problem in which the sum of the *n* input numbers is odd, the answer must be NO.

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#### Theorem

The ISSTRONG and the ISPROPER problems, when the input is described by an integer realization of a weighted game [q; w], are coNP-complete.

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- From the definitions of strong, proper it is straightforward to show that both problems belong to coNP.
- Observe that the weighted game with integer representation (2; 1, 1, 1) is both proper and strong.

# Hardness

We transform an instance  $x = (x_1, \ldots, x_n)$  of PARTITION into a realization of a weighted game according to the following schema

$$f(x) = \begin{cases} (q(x); x) & \text{when } x_1 + \dots + x_n \text{ is even,} \\ (2; 1, 1, 1) & \text{otherwise.} \end{cases}$$

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- Function *f* can be computed in polynomial time provided *q* does.
- Independently of q, when x<sub>1</sub> + ··· + x<sub>n</sub> is odd, x is a NO input for partition, but f(x) is a YES instance of ISSTRONG or ISPROPER.

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# IsStrong

Assume that 
$$x_1 + \cdots + x_n$$
 is *even*.  
Let  $s = (x_1 + \cdots + x_n)/2$  and  $N = \{1, \ldots, n\}$ .  
Set  $q(x) = s + 1$ .

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• If there is  $S \subset N$  such that  $\sum_{i \in S} x_i = s$ , then  $\sum_{i \notin S} x_i = s$ , thus both S and  $N \setminus S$  are losing coalitions and f(x) is not strong.

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- If S and  $N \setminus S$  are losing coalitions in f(x). If  $\sum_{i \in S} x_i < s$  then  $\sum_{i \notin S} x_i \ge s + 1$ ,  $N \setminus S$  should be winning. Thus  $\sum_{i \in S} x_i = \sum_{i \notin S} x_i = s$ , and there exists a partition of x.

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Assume that  $x_1 + \cdots + x_n$  is *even*. Let  $s = (x_1 + \dots + x_n)/2$  and  $N = \{1, \dots, n\}$ . Set q(x) = s.

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- When f(x) is not proper

$$\exists S \subseteq \mathsf{N} : \sum_{i \in S} x_i \ge s \land \sum_{i \notin S} x_i \ge s,$$

and thus  $\sum_{i\in S} x_i = s$ .

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#### Power and weight

 We have argued that the power of player *i* in a coalitional game can be measured by the Shapley value φ<sub>i</sub> or Banzhaf index β<sub>i</sub>.

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- If the game in question is a WVG, one may expect that  $\phi_i$  is closely related to  $w_i$ .
- It is not hard to show that power is monotone in weight, i.e., for any weighted voting game  $\Gamma = [q; w]$  and any two players  $i, j \in N$ , we have  $\phi_i(\Gamma) \leq \phi_j(\Gamma)$  iff  $w_i \leq w_j$ .

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- However, two agents may have identical voting power even if their weights differ considerably.

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• After the May 2010 elections in the UK, the Conservative Party had 307 seats, the Labour Party had 258 seats, the Liberal Democrats (LibDems) had 57 seats, and all other parties shared the remaining 28 seats (with the most powerful of them getting 8 seats).

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- Moreover, if Labour or LibDems want to form a coalition that does not include Conservatives, they need each other (as well as a few minor parties).
- Thus, Labour and LibDems have the same Shapley value, despite being vastly different in size.

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- To determine a player's power, we have to take into account the distribution of the other players' weights as well as the quota.

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• Consider a weighted voting game with w = (4, 4, 1, 1).

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- Consider a weighted voting game with w = (4, 4, 1, 1).
- Setting *q* = 10, only the grand coalition wins, so all Shapley values are 1/4.

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16 / 29

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- Setting q = 10, only the grand coalition wins, so all Shapley values are 1/4.
- Setting q = 8, the smaller players are dummies, so their Shapley value is 0.
- Setting q = 5, a player of weight 1 is pivotal only if it appears in the second position, and a player of weight 4 appears in the first position. There are four permutations that satisfy this condition, so the Shapley value of each of the smaller players is 1/6.

## Power and weight:duality

The dual of a game  $\Gamma = (N, W)$  is the game  $\Gamma^d = (N, W^d)$  where  $W^d = \{S \subseteq N \mid N \setminus S \notin W\}.$ 

A coalition S is blocking if  $N \setminus S \notin W$ 

#### Lemma

Given a WVG  $\Gamma = [q; w]$ , we have

- [w(N) + 1 q; w] is a representation of  $\Gamma^d$ .
- for each  $i \in N$ ,  $\phi_i(\Gamma) = \phi_i(\Gamma^d)$

17 / 29

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#### Proof.

Let us see that [w(N) + 1 - q; w] is a representation of  $\Gamma^d$ .



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#### Proof.

Let us see that [w(N) + 1 - q; w] is a representation of  $\Gamma^d$ .

• Assume that S is a blocking coalition, we know that  $N \setminus S$  loses in  $\Gamma$ .

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Let us see that [w(N) + 1 - q; w] is a representation of  $\Gamma^d$ .

- Assume that S is a blocking coalition, we know that  $N \setminus S$  loses in  $\Gamma$ .
- i.e.,  $w(N \setminus S) < q$ , i.e., w(N) w(S) < q.

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, i.e.,  $w(N) - w(S) < q$ .

• giving w(S) > w(N) - q,

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,

• but as all the numbers are integers, equivalently,  $w(S) \ge 1 + w(N) - q$ 

• So, 
$$S \in \mathcal{W}^d$$
 iff  $w(S) \ge 1 + w(N) - q$ .

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#### Power and weight

Let us prove that, for each  $i \in N$ ,  $\phi_i(\Gamma) = \phi_i(\Gamma^d)$ 

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- Hence, *i* is pivotal for the permutation  $\pi'$  in the game  $\Gamma^d$ .
- By symmetry, the converse is also true.
- Thus, we have established a bijection between the set of permutations that *i* is pivotal for in Γ and the set of permutations that *i* is pivotal for in Γ<sup>d</sup>.

EndProof.

For n integer values w = (w<sub>1</sub>,..., w<sub>n</sub>) and an integer x, let T<sub>w</sub>(i, x) be the number of possibilities to write the integer x as the sum of some subset of the first i weights.

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- Let  $\bar{w} = \sum_{i=1}^{n} w_i$

20 / 29

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- Let  $\bar{w} = \sum_{i=1}^{n} w_i$
- When  $x > \bar{w}$ ,  $T_w(i, x) = 0$

20 / 29

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# Given w, the values $T_w(i, x)$ , for $0 \le i \le n$ and $0 \le x \le \overline{w}$ , can be computed in time O(xn)

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• We can use dynamic programming over the following recurrence.

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• We can use dynamic programming over the following recurrence.

$$T_w(i, x) = \begin{cases} 1 & \text{if } x = 0\\ 0 & \text{if } x > 0 \text{ and } i = 0\\ T_w(i - 1, x) & \text{if } x < w_i \text{ and } i > 0\\ T_w(i - 1, x) + T_w(i - 1, x - w_i) & \text{otherwise} \end{cases}$$

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• The table has size O(xn) and each element can be computed in O(1) filling the table by rows.

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• For integers x, c, let  $C_w(i, x)$  be the number of possibilities to write the integer x as the sum of some subset with cardinality c of the first *i* weights.

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22 / 29

- For integers x, c, let  $C_w(i, x)$  be the number of possibilities to write the integer x as the sum of some subset with cardinality c of the first *i* weights.
- When  $x > \overline{w}$ , or c > n, the values are 0.

22 / 29

Given w, the values  $C_w(i, x)$ , for  $0 \le i \le n$ ,  $0 \le x \le \overline{w}$ , and  $0 \le c \le n$  can be computed in time  $O(xn^2)$ 

23 / 29

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Given w, the values  $C_w(i, x)$ , for  $0 \le i \le n$ ,  $0 \le x \le \overline{w}$ , and  $0 \le c \le n$  can be computed in time  $O(xn^2)$ 

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$$C(i, x, c) = \begin{cases} 1 & \text{for } x = 0 \\ 0 & \text{if } x > 0 \text{ and } i = 0 \\ 0 & \text{if } x > 0 \text{ ond } c = 0 \\ C(i - 1, x, c) & \text{for } 1 \le i \le n, \ 1 \le x < w_i, \\ and \ 1 \le c \le n \\ C(i - 1, x, c) + C(i - 1, x - w_i, c - 1) & \text{otherwise} \end{cases}$$

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• The table has size  $O(xn^2)$  and each element can be computed in O(1) filling the table in an adequate order.

• Note that in *T* once a row is computed, we do not need any of the previous rows to compute the next row.

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- Note that in *T* once a row is computed, we do not need any of the previous rows to compute the next row.
- This allows for the design of algorithms that consume the values as they are computed but do no require to store the complete table.

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For a simple game  $\Gamma = (N, W)$ ,

• player *i* is critical for coalition *S* if  $S \in W$  and  $S - \{i\} \in \mathcal{L}$ 

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For a simple game  $\Gamma = (N, W)$ ,

- player *i* is critical for coalition *S* if  $S \in W$  and  $S \{i\} \in \mathcal{L}$
- $\eta_i(\Gamma)$  is the number of coalitions for which *i* is critical.

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- player i is critical for coalition S if  $S \in \mathcal{W}$  and  $S \{i\} \in \mathcal{L}$
- $\eta_i(\Gamma)$  is the number of coalitions for which *i* is critical.
- $W_i$  is the set of winning coalitions containing *i*

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- $\eta_i(\Gamma)$  is the number of coalitions for which *i* is critical.
- $W_i$  is the set of winning coalitions containing *i*
- The Banzhaf value is  $\beta_i(\Gamma) = \eta_i(\Gamma)/2^{n-1}$

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#### Lemma

For a WVG  $\Gamma = (N, W)$  given by an integer realization [q; w], the quantites  $\eta_i(\Gamma)$  and  $|W_i|$ , for  $i \in N$ , and |W| can be computed in  $O(\Delta n)$  time and  $O(\Delta)$  space, where  $\Delta = \min(q, \bar{w} - q + 1)$ .

Proof.

Case  $q = \min(q, \bar{w} - q + 1)$   $(q \leq (\bar{w} + 1)/2)$ 

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Proof.

- Case  $q = \min(q, \bar{w} q + 1)$   $(q \leq (\bar{w} + 1)/2)$ 
  - We compute the vector  $T(n,x) = T_w(n,x)$ , for  $0 \le x \le q-1$

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### Proof.

 $\mathsf{Case} \,\, q = \min(q, \bar{w} - q + 1) \,\, (q \leq (\bar{w} + 1)/2)$ 

- We compute the vector  $T(n,x) = T_w(n,x)$ , for  $0 \le x \le q-1$
- Let T<sub>-i</sub>(x) be the number of losing coalitions S ∈ L, with w(S) = x and i ∉ S.

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- Let T<sub>-i</sub>(x) be the number of losing coalitions S ∈ L, with w(S) = x and i ∉ S. These values can be computed recursively as

$$T_{-i}(x) = T(n, x) - T_{-i}(n, x - w_i)$$

27 / 29

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#### Proof.

Case  $q = \min(q, \bar{w} - q + 1)$   $(q \leq (\bar{w} + 1)/2)$ 

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$$T_{-i}(x) = T(n, x) - T_{-i}(n, x - w_i)$$

Then,

$$\eta_i(\Gamma) = \sum_{x=q-w_i}^{q-1} T_{-i}(x)$$

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#### Proof.

Case  $q = \min(q, \bar{w} - q + 1)$   $(q \leq (\bar{w} + 1)/2)$ 

- We compute the vector  $T(n,x) = T_w(n,x)$ , for  $0 \le x \le q-1$
- Let T<sub>-i</sub>(x) be the number of losing coalitions S ∈ L, with w(S) = x and i ∉ S. These values can be computed recursively as

$$T_{-i}(x) = T(n, x) - T_{-i}(n, x - w_i)$$

Then,

$$\eta_i(\Gamma) = \sum_{x=q-w_i}^{q-1} T_{-i}(x)$$

All the computation can be done in the desired time bounds.

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When q > (w
 +1)/2, we compute T(n,x), for q ≤ x ≤ w
 indexing the sets by their complements.

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28 / 29

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- When q > (w
   +1)/2, we compute T(n,x), for q ≤ x ≤ w
   indexing the sets by their complements.
- With a symmetric definition of T<sub>+i</sub>(x) we can express η<sub>i</sub>(Γ) in a similar way.

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- When q > (w
   +1)/2, we compute T(n,x), for q ≤ x ≤ w
   indexing the sets by their complements.
- With a symmetric definition of T<sub>+i</sub>(x) we can express η<sub>i</sub>(Γ) in a similar way.
- The other values can be expressed as sums of  $T_{-i}(x)$  and/or  $T_{+i}(x)$

EndProof.

28 / 29

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### Other power indices

• In a similar way, it can be shown that the Shapley-Shubick index can be computed in  $O(\Delta n^2)$  time using  $O(\Delta n)$  memory.

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## Other power indices

- In a similar way, it can be shown that the Shapley-Shubick index can be computed in O(Δn<sup>2</sup>) time using O(Δn) memory.
- Other power indices can be computed using similar techniques, see [Staudacher et al., Operations research and decisions 2:123–145, 2021]
- CoopGame is a R-package implementing most of the results in the paper.